



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<b>(21) International Application Number:</b> PCT/US92/09196 <b>(22) International Filing Date:</b> 23 October 1992 (23.10.92)  <b>(30) Priority data:</b> 782,374                      24 October 1991 (24.10.91)      US  <b>(60) Parent Application or Grant</b> <b>(63) Related by Continuation</b> US                                              782,374 (CIP) Filed on                                      24 October 1991 (24.10.91)  <b>(71) Applicant (for all designated States except US):</b> ISIS PHARMACEUTICALS, INC. [US/US]; 2280 Faraday Avenue, Carlsbad, CA 92008 (US).		<b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> MANOHARAN, Mu- thiah [IN/US]; 2340 Hosp Way, #119, Carlsbad, CA 92008 (US). COOK, Philip, Dan [US/US]; 6430 La Pal- oma Street, Carlsbad, CA 92009 (US). BENNETT, Clar- ence, Frank [US/US]; 6553 Courte Christo, Carlsbad, CA 92008 (US).  <b>(74) Agents:</b> CALDWELL, John, W. et al.; Woodcock Wash- burn Kurtz Mackiewicz & Norris, One Liberty Place - 46th Floor, Philadelphia, PA 19103-7301 (US).  <b>(81) Designated States:</b> AU, BB, BG, BR, CA, CS, FI, HU, JP, KP, KR, LK, MG, MN, MW, NO, PL, RO, RU, US, Eu- ropean patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, SN, TD, TG).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the</i> <i>claims and to be republished in the event of the receipt of</i> <i>amendments.</i>
<b>(54) Title:</b> DERIVATIZED OLIGONUCLEOTIDES HAVING IMPROVED UPTAKE AND OTHER PROPERTIES  <b>(57) Abstract</b>  Linked nucleosides having at least one functionalized nucleoside that bears a substituent such as a steroid molecule, a re- porter molecule, a non-aromatic lipophilic molecule, a reporter enzyme, a peptide, a protein, a water soluble vitamin, a lipid solu- ble vitamin, an RNA cleaving complex, a metal chelator, a porphyrin, an alkylator, a pyrene, a hybrid photonuclease/intercala- tor, or an aryl azide photo-crosslinking agent exhibit increased cellular uptake and other properties. The substituent can be attached at the 2'-position of the functionalized nucleoside via a linking group. If at least a portion of the remaining linked nucle- osides are 2'-deoxy-2'-fluoro, 2'-O-methoxy, 2'-O-ethoxy, 2'-O-propoxy, 2'-O-aminoalkoxy or 2'-O-allyloxy nucleosides, the sub- stituent can be attached via a linking group at any of the 3' or the 5' positions of the nucleoside or on the heterocyclic base of the nucleoside or on the inter-nucleotide linkage linking the nucleoside to an adjacent nucleoside.		

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**DERIVATIZED OLIGONUCLEOTIDES HAVING IMPROVED UPTAKE  
AND OTHER PROPERTIES**

**CROSS REFERENCE TO RELATED APPLICATIONS**

This application is a continuation-in-part of  
5 Application Serial No. 782,374, filed October 24, 1991, which  
is a continuation-in-part of PCT/US91/00243, filed January 11,  
1991, which is a continuation-in-part of Application Serial  
No. 463,358, filed January 11, 1990, and of Application Serial  
No. 566,977, filed August 13, 1990. The entire disclosures  
10 of each of these applications, which are assigned to the  
assignee of this application, are incorporated herein by  
reference.

**FIELD OF THE INVENTION**

This application is directed to sequence specific  
15 oligonucleotides that include functionalized nucleosides  
having substituents such as steroids, reporter molecules,  
reporter enzymes, non-aromatic lipophilic molecules, peptides,  
or proteins attached via linking groups.

**BACKGROUND OF THE INVENTION**

20 Messenger RNA (mRNA) directs protein synthesis.  
Antisense methodology is the complementary hybridization of  
relatively short oligonucleotides to mRNA or DNA such that the  
normal, essential functions of these intracellular nucleic  
acids are disrupted. Hybridization is the sequence-specific  
25 hydrogen bonding of oligonucleotides to RNA or single-stranded  
DNA via complementary Watson-Crick base pairs.

The naturally occurring events that provide the disruption of the nucleic acid function, discussed by Cohen in *Oligonucleotides: Antisense Inhibitors of Gene Expression*, CRC Press, Inc., Boca Raton, Fl (1989), are thought to be of two types. The first, hybridization arrest, denotes a terminating event in which the oligonucleotide inhibitor binds to the target nucleic acid and thus prevents, by simple steric hindrance, the binding of essential proteins, most often ribosomes, to the nucleic acid. Methyl phosphonate oligonucleotides (see, e.g., Miller, et al., *Anti-Cancer Drug Design* 1987, 2, 117) and  $\alpha$ -anomer oligonucleotides, the two most extensively studied antisense agents, are thought to disrupt nucleic acid function by hybridization arrest.

The second type of terminating event for antisense oligonucleotides involves the enzymatic cleavage of targeted RNA by intracellular RNase H. A 2'-deoxyribofuranosyl oligonucleotide or oligonucleotide analog hybridizes with the targeted RNA to form a duplex that activates the RNase H enzyme to cleave the RNA strand, thus destroying the normal function of the RNA. Phosphorothioate oligonucleotides provide the most prominent example of antisense agents that operate by this type of antisense terminating event.

Considerable research is being directed to the application of oligonucleotides and oligonucleotide analogs as antisense agents for diagnostics, research reagents, and therapeutics. At least for therapeutic purposes, the antisense oligonucleotides and oligonucleotide analogs must be transported across cell membranes or taken up by cells to express activity. One method for increasing membrane or cellular transport is by the attachment of a pendant lipophilic group.

Ramirez, et al., *J. Am. Chem. Soc.* 1982, 104:, 5483, introduced the phospholipid group 5'-O-(1,2-di-O-myristoyl-sn-glycero-3-phosphoryl) into the dimer TpT independently at the 3' and 5' positions. Subsequently Shea, et al., *Nuc. Acids Res.* 1990, 18, 3777, disclosed oligonucleotides having a 1,2-di-O-hexyldecyl-rac-glycerol group linked to a 5'-phosphate

on the 5'-terminus of the oligonucleotide. Certain of the Shea, et. al. authors disclosed these and other compounds in patent application PCT/US90/01002. Another glucosyl phospholipid was disclosed by Guerra, et al., *Tetrahedron Letters* 1987, 28, 3581.

In other work, a cholesteryl group was attached to the inter-nucleotide linkage between the first and second nucleotides (from the 3' terminus) of an oligonucleotide. This work is disclosed in United States Patent No. 4,958,013 and by Letsinger, et al., *Proc. Natl. Acad. Sci. USA* 1989, 86, 6553. The aromatic intercalating agent anthraquinone was attached to the 2' position of a sugar fragment of an oligonucleotide as reported by Yamana, et al., *Bioconjugate Chem.* 1990, 1, 319.

Lemairte, et al., *Proc. Natl. Acad. Sci. USA* 1986, 84, 648 and Leonetti, et al., *Bioconjugate Chem.* 1990, 1, 149, disclose modifying the 3' terminus of an oligonucleotide to include a 3'-terminal ribose sugar moiety. Poly(L-lysine) was linked to the oligonucleotide via periodate oxidation of this terminal ribose followed by reduction and coupling through a N-morpholine ring. Oligonucleotide-poly(L-lysine) conjugates are described in European Patent application 87109348.0, wherein the lysine residue was coupled to a 5' or 3' phosphate of the 5' or 3' terminal nucleotide of the oligonucleotide. A disulfide linkage has also been utilized at the 3' terminus of an oligonucleotide to link a peptide to the oligonucleotide, as described by Corey, et al., *Science* 1987, 238, 1401; Zuckermann, et al., *J. Am. Chem. Soc.* 1988, 110, 1614; and Corey, et al., *J. Am. Chem. Soc.* 1989, 111, 8524.

Nelson, et al., *Nuc. Acids Res.* 1989, 17, 7187 describe a linking reagent for attaching biotin to the 3'-terminus of an oligonucleotide. This reagent, N-Fmoc-O-DMT-3-amino-1,2-propanediol, is commercially available from Clontech Laboratories (Palo Alto, CA) under the name 3'-Amine on and from Glen Research Corporation (Sterling, VA) under the name 3'-Amino-Modifier. This reagent was also utilized to link a

peptide to an oligonucleotide, as reported by Judy, et al.,  
*Tetrahedron Letters* 1992, 32, 879. A similar commercial  
reagent (actually a series of linkers having various lengths  
of polymethylene connectors) for linking to the 5'-terminus  
5 of an oligonucleotide is 5'-Amino-Modifier C6, also from Glen  
Research Corporation. These compounds or similar ones were  
utilized by Krieg, et al., *Antisense Research and Development*  
1991, 1, 161 to link fluorescein to the 5'-terminus of an  
oligonucleotide. Other compounds of interest have also been  
10 linked to the 3'-terminus of an oligonucleotide. Asseline,  
et al., *Proc. Natl. Acad. Sci. USA* 1984, 81, 3297 described  
linking acridine on the 3'-terminal phosphate group of a poly  
(Tp) oligonucleotide via a polymethylene linkage.  
Haralambidis, et al., *Tetrahedron Letters* 1987, 28, 5199  
15 reported building a peptide on a solid state support and then  
linking an oligonucleotide to that peptide via the 3' hydroxyl  
group of the 3' terminal nucleotide of the oligonucleotide.  
Chollet, *Nucleosides & Nucleotides* 1990, 9, 957 attached an  
Aminolink 2 (Applied Biosystems, Foster City, CA) to the 5'  
20 terminal phosphate of an oligonucleotide. They then used the  
bifunctional linking group SMPB (Pierce Chemical Co.,  
Rockford, Il) to link an interleukin protein to the  
oligonucleotide.

An EDTA iron complex has been linked to the 5 position  
25 of a pyrimidine nucleoside as reported by Dreyer, et al.,  
*Proc. Natl. Acad. Sci. USA* 1985, 82, 968. Fluorescein has  
been linked to an oligonucleotide in the same manner, as  
reported by Haralambidis, et al., *Nucleic Acid Research* 1987,  
15, 4857 and biotin in the same manner as described in PCT  
30 application PCT/US/02198. Fluorescein, biotin and pyrene were  
also linked in the same manner as reported by Telser, et al.,  
*J. Am. Chem. Soc.* 1989, 111, 6966. A commercial reagent,  
Amino-Modifier-dT from Glen Research Corporation, can be  
utilized to introduce pyrimidine nucleotides bearing similar  
35 linking groups into oligonucleotides.

Cholic acid linked to EDTA for use in radioscintigraphic imaging studies was reported by Betebenner, et al., *Bioconjugate Chem.* 1991, 2, 117; however, it is not known to link cholic acid to nucleosides, nucleotides or oligonucleotides.

#### OBJECTS OF THE INVENTION

It is an object of this invention to provide sequence-specific oligonucleotides having improved transfer across cellular membranes.

10 It is a further object of this invention to provide improvements in research and diagnostic methods and materials for assaying bodily states in animals, especially disease states.

15 It is an additional object of this invention to provide therapeutic and research materials having improved transfer and uptake properties for the treatment of diseases through modulation of the activity of DNA or RNA.

#### BRIEF DESCRIPTION OF THE INVENTION

20 In accordance with these and other objects evident from this specification, there are provided compounds that comprise a plurality of linked nucleosides wherein at least one of the nucleosides is functionalized at the 2'-position with a substituent such as, for example, a steroid molecule, a reporter molecule, a non-aromatic lipophilic molecule, a  
25 reporter enzyme, a peptide, a protein, a water soluble vitamin, a lipid soluble vitamin, an RNA cleaving complex, a metal chelator, a porphyrin, an alkylator, a hybrid photonuclease/intercalator, a pyrene, or an aryl azide photo-crosslinking agent. Preferably, the substituent is connected  
30 to 2'-position using an intervening linking group.

In certain preferred embodiments of the invention, the substituents comprise a steroid molecule, biotin, a reporter enzyme or a fluorescein dye molecule. In these embodiments,  
35 the steroid molecule is selected from the group consisting of cholic acid, deoxycholic acid, dehydrocholic acid, cortisone,

testosterone, cholesterol and digoxigenin with the most preferred steroid molecule being cholic acid. Preferred reporter enzymes include horseradish peroxidase and alkaline phosphatase.

5 In further preferred embodiments, the non-aromatic lipophilic molecule attached to the 2'-position comprises an alicyclic hydrocarbon, saturated or unsaturated fatty acid, wax, terpenoid, or polyalicyclic hydrocarbon, including adamantane and buckminsterfullerenes. Waxes according to the  
10 invention include monohydric alcohol esters of fatty acids and fatty diamides. Buckminsterfullerenes include soccer ball-shaped, cage molecules comprising varying numbers of covalently bound carbon atoms. Terpenoids include the C<sub>10</sub> terpenes, C<sub>20</sub> sesquiterpenes, C<sub>30</sub> diterpenes including vitamin  
15 A (retinol), retinoic acid, retinal and dehydroretinol, C<sub>30</sub> triterpenes, C<sub>40</sub> tetraterpenes and other higher polyterpenoids.

In other preferred embodiments, peptides or proteins attached to the 2'-position comprise sequence-specific  
20 peptides and sequence-specific proteins, including phosphatases, peroxidases and nucleases.

Preferred linking molecules of the invention comprise  $\Omega$ -aminoalkoxy linkers,  $\Omega$ -aminoalkylamino linkers, heterobifunctional linkers or homobifunctional linkers. A particularly preferred linking molecule of the invention is a 5-aminopentoxo group.  
25

In preferred embodiments of the invention at least a portion of the linked nucleosides are 2'-deoxy-2'-fluoro, 2'-methoxy, 2'-ethoxy, 2'-propoxy, 2'-aminoalkoxy or 2'-allyloxy  
30 nucleosides. In other preferred embodiments of the invention the linked nucleosides are linked with phosphorothioate linking groups.

The invention also provides compounds that have a plurality of linked nucleosides. In preferred embodiments,  
35 at least one of the nucleosides is: (1) a 2'-functionalized nucleoside having cholic acid linked to its 2'-position; (2) a heterocyclic base functionalized nucleoside having cholic



acid linked to its heterocyclic base; (3) a 5' terminal nucleoside having cholic acid linked to its 5'-position; (4) a 3' terminal nucleoside having cholic acid linked to its 3'-position; or (5) an inter-strand nucleoside having cholic acid  
5 linked to an inter-stand linkage linking said inter-strand nucleoside to an adjacent nucleoside.

In certain embodiments of the invention having linked nucleosides, at least one linked nucleosides bears a 2'-deoxy'-2'-fluoro, 2'-O-C<sub>1</sub>-C<sub>20</sub>-alkyl, 2'-O-C<sub>2</sub>-C<sub>20</sub>-alkenyl, 2'-O-  
10 C<sub>2</sub>-C<sub>20</sub>-alkynyl, 2'-S-C<sub>1</sub>-C<sub>20</sub>-alkyl, 2'-S-C<sub>2</sub>-C<sub>20</sub>-alkenyl, 2'-S-C<sub>2</sub>-C<sub>20</sub>-alkynyl, 2'-NH-C<sub>1</sub>-C<sub>20</sub>-alkyl, 2'-NH-C<sub>2</sub>-C<sub>20</sub>-alkenyl, or 2'-NH-C<sub>2</sub>-C<sub>20</sub>-alkynyl substituent.

Further in accordance with the invention there is provided a method of increasing cellular uptake of a compound  
15 having a plurality of linked nucleosides that includes contacting an organism with a compound where the compound includes at least one nucleoside functionalized at the 2'-position with a steroid molecule, a reporter molecule, a non-aromatic lipophilic molecule, a reporter enzyme, a peptide,  
20 a protein, a water soluble vitamin, and a lipid soluble vitamin. The compound can be included in a composition that further includes an inert carrier for the compound.

The invention also provides a method for enhancing the binding affinity and/or stability of an antisense  
25 oligonucleotide comprising functionalizing the oligonucleotide with a steroid molecule, a reporter molecule, a non-aromatic lipophilic molecule, a reporter enzyme, a peptide, a protein, a water soluble vitamin, and a lipid soluble vitamin.

**DETAILED DESCRIPTION OF THE INVENTION**

Antisense therapeutics can be practiced in a variety of organisms ranging from unicellular prokaryotic and eukaryotic organisms to multicellular eukaryotic organisms. Any  
5 organism that utilizes DNA-RNA transcription or RNA-protein translation as a fundamental part of its hereditary, metabolic or cellular control is susceptible to antisense therapeutics and/or prophylactics. Seemingly diverse organisms such as bacteria, yeast, protozoa, algae, all plant and all higher  
10 animal forms, including warm-blooded animals, can be treated by antisense therapy. Further, since each of the cells of multicellular eukaryotes also includes both DNA-RNA transcription and RNA-protein translation as an integral part of its cellular activity, antisense therapeutics and/or  
15 diagnostics can also be practiced on such cellular populations. Furthermore, many of the organelles, e.g., mitochondria and chloroplasts, of eukaryotic cells also include transcription and translation mechanisms. As such, single cells, cellular populations or organelles can also be included  
20 within the definition of organisms that are capable of being treated with antisense therapeutics or diagnostics. As used herein, therapeutics is meant to include both the eradication of a disease state, killing of an organism, e.g., bacterial, protozoan or other infection, or control of erratic or harmful  
25 cellular growth or expression.

While we do not wish to be bound by any particular theory, it is believed that the presence of many nuclear proteins in the nucleus is due to their selective entry through the nuclear envelope rather than to their selective  
30 retention within the nucleus after entry. By this mechanism, the nucleus is able to selectively take up certain proteins and not others. The uptake is based upon the sequence of the peptide or protein, which provides a selective signal sequence that allows accumulation of the peptide or protein in the  
35 nucleus. One such peptide signal sequence is found as part of the SV40 large T-antigen. See, e.g., Dingwell, et al. *Ann. Rev. Cell Bio.* 1986, 2, 367; Yoneda, et al., *Experimental Cell*

Research 1987, 170, 439; and Wychowski, et al., *J. Virol.* 1986, 61, 3862.

According to the present invention a substituent such as a steroid molecule, a reporter molecule, a non-aromatic lipophilic molecule, a reporter enzyme, a peptide, a protein, a water soluble vitamin, a lipid soluble vitamin, an RNA cleaving complex, a metal chelator, a porphyrin, an alkylator, a hybrid photonuclease/intercalator, or an aryl azide photo-crosslinking agent is attached to at least one nucleoside in an antisense diagnostic or therapeutic agent to assist in the transfer of the antisense therapeutic or diagnostic agent across cellular membranes. Such antisense diagnostic or therapeutic agent is formed from a plurality of linked nucleosides of a sequence that is "antisense" to a region of an RNA or DNA that is of interest. Thus, one or more nucleoside of the linked nucleosides are "functionalized" to include a substituent linked to the nucleoside via a linking group. For the purposes of identification, such functionalized nucleosides can be characterized as substituent-bearing (e.g., steroid-bearing) nucleosides. Linked nucleosides having at least one functionalized nucleoside within their sequence demonstrate enhanced antisense activity when compared to linked nucleoside that do not contain functionalized nucleoside. These "functionalized" linked nucleosides further demonstrate increased transfer across cellular membranes.

For the purposes of this invention. the terms "reporter molecule" and "reporter enzyme" include molecules or enzymes having physical or chemical properties that allow them to be identified in gels, fluids, whole cellular systems, broken cellular systems, and the like utilizing physical properties such as spectroscopy, radioactivity, colorimetric assays, fluorescence, and specific binding. Steroids include chemical compounds that contain a perhydro-1,2-cyclopentanophenanthrene ring system. Proteins and peptides are utilized in their usual sense as polymers of amino acids. Normally peptides are amino acid polymers that contain a fewer amino acid monomers

per unit molecule than proteins. Non-aromatic lipophilic molecules include fatty acids, esters, alcohols and other lipid molecules, as well as synthetic cage structures such as adamantane and buckminsterfullerenes that do not include aromatic rings within their structure.

Particularly useful as steroid molecules are the bile acids, including cholic acid, deoxycholic acid and dehydrocholic acid. Other useful steroids are cortisone, digoxigenin, testosterone, cholesterol and cationic steroids such as cortisone having a trimethylaminomethyl hydrazide group attached via a double bond at the 3 position of the cortisone rings. Particularly useful reporter molecules are biotin and fluorescein dyes. Particularly useful non-aromatic lipophilic molecules are alicyclic hydrocarbons, saturated and unsaturated fatty acids, waxes, terpenes, and polyalicyclic hydrocarbons, including adamantane and buckminsterfullerenes. Particularly useful reporter enzymes are alkaline phosphatase and horseradish peroxidase. Particularly useful peptides and proteins are sequence-specific peptides and proteins, including phosphodiesterase, peroxidase, phosphatase, and nuclease proteins. Such peptides and proteins include SV40 peptide, RNase A, RNase H and Staphylococcal nuclease. Particularly useful terpenoids are vitamin A, retinoic acid, retinal, and dehydroretinol.

Vitamins according to the invention generally can be classified as water soluble or lipid soluble. Water soluble vitamins include thiamine, riboflavin, nicotinic acid or niacin, the vitamin B<sub>6</sub> pyridoxal group, pantothenic acid, biotin, folic acid, the B<sub>12</sub> cobamide coenzymes, inositol, choline and ascorbic acid. Lipid soluble vitamins include the vitamin A family, vitamin D, the vitamin E tocopherol family and vitamin K (and phytols). The vitamin A family, including retinoic acid and retinol, are absorbed and transported to target tissues through their interaction with specific proteins such as cytosol retinol-binding protein type II (CRBP-II), Retinol-binding protein (RBP), and cellular retinol-binding protein (CRBP). These proteins, which have

been found in various parts of the human body, have molecular weights of approximately 15 kD. They have specific interactions with compounds of vitamin-A family, especially, retinoic acid and retinol.

5       The vitamin A family of compounds can be attached to oligonucleotides via acid or alcohol functionalities found in the various family members. For example, conjugation of an N-hydroxy succinimide ester of an acid moiety of retinoic acid to an amine function on a linker pendant to an oligonucleotide  
10       resulted in linkage of vitamin A compound to the oligonucleotide via an amide bond. Also, retinol was converted to its phosphoramidite, which is useful for 5' conjugation.

$\alpha$ -Tocopherol (vitamin E) and the other tocopherols  
15       (beta through zeta) can be conjugated to oligonucleotides to enhance uptake because of their lipophilic character. Also, the lipophilic vitamin, vitamin D, and its ergosterol precursors can be conjugated to oligonucleotides through their hydroxyl groups by first activating the hydroxyls groups to,  
20       for example, hemisuccinate esters. Conjugation then is effected to an aminolinker pendant from the oligonucleotide. Other vitamins that can be conjugated to oligonucleotide aminolinkers through hydroxyl groups on the vitamins include thiamine, riboflavin, pyridoxine, pyridoxamine, pyridoxal,  
25       deoxypyridoxine. Lipid soluble vitamin K's and related quinone-containing compounds can be conjugated via carbonyl groups on the quinone ring. The phytol moiety of vitamin K may also serve to enhance bind of the oligonucleotides to cells.

30       Pyridoxal (vitamin B<sub>6</sub>) has specific B<sub>6</sub>-binding proteins. The role of these proteins in pyridoxal transport has been studied by Zhang and McCormick, *Proc. Natl. Acad. Sci. USA*, 1991 88, 10407. Zhang and McCormick also have shown that a series of N-(4'-pyridoxyl)amines, in which several  
35       synthetic amines were conjugated at the 4'-position of pyridoxal, are able to enter cells by a process facilitated by the B6 transporter. They also demonstrated the release of

these synthetic amines within the cell. Other pyridoxal family members include pyridoxine, pyridoxamine, pyridoxal phosphate, and pyridoxic acid. Pyridoxic acid, niacin, pantothenic acid, biotin, folic acid and ascorbic acid can be  
5 conjugated to oligonucleotides using N-hydroxysuccinimide esters that are reactive with aminolinkers located on the oligonucleotide, as described above for retinoic acid.

Other groups for modifying antisense properties include RNA cleaving complexes, pyrenes, metal chelators, porphyrins,  
10 alkylators, hybrid intercalator/ligands and photo-crosslinking agents. RNA cleavers include o-phenanthroline/Cu complexes and  $\text{Ru}(\text{bipyridine})_3^{2+}$  complexes. The  $\text{Ru}(\text{bpy})_3^{2+}$  complexes interact with nucleic acids and cleave nucleic acids photo-chemically. Metal chelators include EDTA, DTPA, and o-  
15 phenanthroline. Alkylators include compounds such as iodoacetamide. Porphyrins include porphine, its substituted forms, and metal complexes. Pyrenes include pyrene and other pyrene-based carboxylic acids that could be conjugated using the similar protocols.

20 Hybrid intercalator/ligands include the photonuclease/intercalator ligand 6-[[[9-[[6-(4-nitrobenzamido)hexyl]amino]acridin-4-yl]carbonyl]amino]hexanoyl-pentafluorophenyl ester. This compound has two noteworthy features: an acridine moiety that is an intercalator and a p-  
25 nitro benzamido group that is a photonuclease.

Photo-crosslinking agents include aryl azides such as, for example, N-hydroxysuccinimidyl-4-azidobenzoate (HSAB) and N-succinimidyl-6(-4'-azido-2'-nitrophenyl-amino)hexanoate (SANPAH). Aryl azides conjugated to oligonucleotides effect  
30 crosslinking with nucleic acids and proteins upon irradiation. They also crosslink with carrier proteins (such as KLH or BSA), raising antibody against the oligonucleotides.

A variety of linking groups can be used to connect the substituents of the invention to nucleosides, nucleotides,  
35 and/or oligonucleotides. Certain linking groups, such as  $\Omega$ -aminoalkoxy moieties and  $\Omega$ -aminoalkylamino moieties, are particularly useful for linking steroid molecules or reporter

molecules to the 2'-position of a nucleoside. Many linking groups are commercially available, including heterobifunctional and homobifunctional linking moieties available from the Pierce Co. (Rockford, Il).

5 Heterobifunctional and homobifunctional linking moieties are particularly useful in conjunction with the  $\Omega$ -aminoalkoxy and  $\Omega$ -aminoalkylamino moieties to form extended linkers that connect peptides and proteins to nucleosides. Other commercially available linking groups are 5'-Amino-Modifier

10 C6 and 3'-Amino-Modifier reagents, both available from Glen Research Corporation (Sterling, VA). 5'-Amino-Modifier C6 is also available from ABI (Applied Biosystems Inc., Foster City, CA) as Aminolink-2, and 3'-Amino-Modifier is also available from Clontech Laboratories Inc. (Palo Alto, CA). A nucleotide

15 analog bearing a linking group pre-attached to the nucleoside is commercially available from Glen Research Corporation under the tradename "Amino-Modifier-dT." This nucleoside-linking group reagent, a uridine derivative having an [N(7-trifluoroacetylinoheptyl)3-acrylamido] substituent group at the 5

20 position of the pyrimidine ring, is synthesized generally according to Jablonski, et al., *Nucleic Acid Research* 1986, 14, 6115. It is intended that the nucleoside analogs of the invention include adenine nucleosides functionalized with linkers on their N6 purine amino groups, guanine nucleosides

25 functionalized with linkers at their exocyclic N2 purine amino groups, and cytosine nucleosides functionalized with linkers on either their N4 pyrimidine amino groups or 5 pyrimidine positions.

Sequence-specific linked nucleosides of the invention

30 are assembled on a suitable DNA synthesizer utilizing either standard nucleotide precursors or nucleotide precursors that already bear linking moieties. Once synthesis of the sequence-specific linked nucleosides is complete, a substituent can be reacted with the linking moiety. Thus, the

35 invention preferably first builds a desired linked nucleoside sequence by known techniques on a DNA synthesizer. One or

more of the linked nucleosides are then functionalized or derivatized with a selected substituent.

PCT/US91/00243, Application Serial No. 463,358, and Application Serial No. 566,977, which are incorporated herein by reference, disclose that incorporation of, for example, a 2'-O-methyl, 2'-O-ethyl, 2'-O-propyl, 2'-O-allyl, 2'-O-aminoalkyl or 2'-deoxy-2'-fluoro groups on the nucleosides of an oligonucleotide enhance the hybridization properties of the oligonucleotide. These applications also disclose that oligonucleotides containing phosphorothioate backbones have enhanced nuclease stability. The functionalized, linked nucleosides of the invention can be augmented to further include either or both a phosphorothioate backbone or a 2'-O-C<sub>1</sub>-C<sub>20</sub>-alkyl (e.g., 2'-O-methyl, 2'-O-ethyl, 2'-O-propyl), 2'-O-C<sub>2</sub>-C<sub>20</sub>-alkenyl (e.g., 2'-O-allyl), 2'-O-C<sub>2</sub>-C<sub>20</sub>-alkynyl, 2'-S-C<sub>1</sub>-C<sub>20</sub>-alkyl, 2'-S-C<sub>2</sub>-C<sub>20</sub>-alkenyl, 2'-S-C<sub>2</sub>-C<sub>20</sub>-alkynyl, 2'-NH-C<sub>1</sub>-C<sub>20</sub>-alkyl (2'-O-aminoalkyl), 2'-NH-C<sub>2</sub>-C<sub>20</sub>-alkenyl, 2'-NH-C<sub>2</sub>-C<sub>20</sub>-alkynyl or 2'-deoxy-2'-fluoro group. See, e.g., Application Serial No. 918,362, filed July 23, 1992, which is incorporated by reference.

An oligonucleotide possessing an amino group at its 5'-terminus is prepared using a DNA synthesizer and then is reacted with an active ester derivative of the substituent of the invention (e.g., cholic acid). Active ester derivatives are well known to those skilled in the art. Representative active esters include N-hydrosuccinimide esters, tetrafluorophenolic esters, pentafluorophenolic esters and pentachlorophenolic esters. For cholic acid, the reaction of the amino group and the active ester produces an oligonucleotide in which cholic acid is attached to the 5'-position through a linking group. The amino group at the 5'-terminus can be prepared conveniently utilizing the above-noted 5'-Amino-Modifier C6 reagent.

Cholic acid can be attached to a 3'-terminal amino group by reacting a 3'-amino modified controlled pore glass (sold by Clontech Laboratories Inc., Palo Alto, CA), with a cholic acid active ester.



Cholic acid can be attached to both ends of a linked nucleoside sequence by reacting a 3',5'-diamino sequence with the cholic acid active ester. The required oligonucleoside sequence is synthesized utilizing the 3'-Amino-Modifier and  
5 the 5'-Amino-Modifier C6 (or Aminolink-2) reagents noted above or by utilizing the above-noted 3'-amino modified controlled pore glass reagent in combination with the 5'-Amino-Modifier C2 (or Aminolink-2) reagents.

In even further embodiments of the invention, an oligo-  
10 nucleoside sequence bearing an aminolinker at the 2'-position of one or more selected nucleosides is prepared using a suitably functionalized nucleotide such as, for example, 5'-dimethoxytrityl-2'-O-( $\epsilon$ -phthalimidylaminopentyl)-2'-deoxy-adenosine-3'-N,N-diisopropyl-cyanoethoxy phosphoramidite. See,  
15 e.g., Manoharan, et al., *Tetrahedron Letters*, 1991, 34, 7171 and above-referenced Application Serial Nos. PCT/US91/00243, 566,977, and 463,358. The nucleotide or nucleotides are attached to cholic acid or another substituent using an active ester or a thioisocyanate thereof. This approach allows the  
20 introduction of a large number of functional groups into an linked nucleoside sequence. Indeed each of the nucleosides can be so substituted.

In further functionalized nucleoside sequences of the invention, the heterocyclic base of one or more nucleosides  
25 is linked to a steroid molecule, a reporter molecule, a non-aromatic lipophilic molecule, a reporter enzyme, a peptide, a protein, a water soluble vitamin, a lipid soluble vitamin, an RNA cleaving complex, a metal chelator, a porphyrin, an alkylator, a hybrid photonuclease/intercalator, or an aryl  
30 azide photo-crosslinking agent. Utilizing 5'-O-dimethoxytrityl-5-[N(7-trifluoroacetyl aminoheptyl)-3-acrylamido]-2'-deoxyuridine 3'-O-(methyl N,N-diisopropyl)phosphoramidate, as described by Jablonski, et al. above (also commercially available from Glen Research), the desired  
35 nucleoside, functionalized to incorporate a linking group on its heterocyclic base, is incorporated into the linked nucleoside sequence using a DNA synthesizer.

Conjugation (linking) of reporter enzymes, peptides, and proteins to linked nucleosides is achieved by conjugation of the enzyme, peptide or protein to the above-described amino linking group on the nucleoside. This can be effected in several ways. A peptide- or protein- functionalized nucleoside of the invention can be prepared by conjugation of the peptide or protein to the nucleoside using EDC/sulfo-NHS (i.e., 1-ethyl-3-(3-dimethylaminopropylcarbodiimide/N-hydroxy-sulfosuccinimide) to conjugate the carboxyl end of the reporter enzyme, peptide, or protein with the amino function of the linking group on the nucleotide. Further, a linked nucleoside sequence of the invention can be prepared using EDC/sulfo-NHS to conjugate a carboxyl group of an aspartic or glutamic acid residue in the reporter enzyme, peptide or protein to the amino function of a linked nucleoside sequence.

Preferably a reporter enzyme-, peptide-, protein-functionalized linked nucleoside sequence can be prepared by conjugation of the reporter enzyme, peptide or protein to the nucleoside sequence via a heterobifunctional linker such as *m*-maleimidobenzoyl-N-hydroxysulfosuccinimide ester (MBS) or succinimidyl 4-(N-maleimidomethyl)cyclohexane-1-carboxylate (SMCC) to link a thiol function on the reporter enzyme, peptide or protein to the amino function of the linking group on nucleoside sequence. By this mechanism, an oligonucleoside-maleimide conjugate is formed by reaction of the amino group of the linker on the linked nucleosides with the MBS or SMCC maleimide linker. The conjugate is then reacted with peptides or proteins having free sulfhydryl groups.

In a second preferred method, a reporter enzyme-, peptide-, protein-functionalized linked nucleoside sequence can be prepared by conjugation of the peptide or protein to the sequence using a homobifunctional linker such as disuccinimidyl suberate (DSS) to link an amino function on the peptide or protein to the amino group of a linker on the sequence. By this mechanism, an oligonucleoside-succinimidyl conjugate is formed by reaction of the amino group of the linker on the nucleoside sequence with a disuccinimidyl sub-

erate linker. The disuccinimidyl suberate linker couples with the amine linker on the sequence to extend the size of the linker. The extended linker is then reacted with amine groups such as, for example, the amine of lysine or other available N-terminus amines, on reporter enzymes, peptides and proteins.

Additional objects, advantages, and novel features of this invention will become apparent to those skilled in the art upon examination of the following examples, which are not intended to be limiting.

For the following examples, anhydrous dimethylformamide, cholic acid and N-hydroxysuccinimide were purchased from Aldrich Chemical Co. (Milwaukee, WI), ethyl-3-(3-dimethylamino)propylcarbodiimide (EDAC or EDC) was obtained from JBL Scientific (San Luis Obispo, CA) as the free base under the label EDAC or from Pierce (Rockford, IL) under the label EDC, Aminolink-2 was purchased from ABI and 3'-Amino-Modifier, 5'-Amino-Modifier C6 and Amino-Modifier dT reagents were purchased from Glen Research Corporation. NMR Spectra were run on a Varian Unity-400 instrument. Oligonucleotide synthesis were performed on an Applied Biosystems 380 B or 394 DNA synthesizer following standard phosphoramidite protocols using reagents supplied by the manufacturer. When modified phosphoramidites were used, a longer coupling time (10-15 min) was employed. HPLC was performed on a Waters 600E instrument equipped with a model 991 detector. Unless otherwise noted, for analytical chromatography the following conditions were employed: Hamilton PRP-1 column (15 x 2.5 cm); solvent A: 50mm TEAA, pH 7.0; solvent B: 45mm TEAA with 80% CH<sub>3</sub>CN; flow rate: 1.5ml/min; gradient: 5% B for the first 5 minutes, linear (1%) increase in B every minute thereafter and for preparative purposes: Waters Delta Pak C-4 column; flow rate: 5ml/min; gradient: 5% B for the first 10 minutes, linear 1% increase for every minute thereafter.

All oligonucleotide sequences are listed in a standard 5' to 3' order from left to right.

**EXAMPLE 1****Cholic Acid N-Hydroxysuccinimide Ester (Compound 1)**

Anhydrous DMF (150ml) was added to a mixture of cholic acid (4.09g, 15mmol) and N-hydroxysuccinimide (5.25g, 45 mmol). The mixture was stirred in the presence of nitrogen. EDAC (4ml, 25mmol) was then added and this mixture was then stirred overnight. The solution was then evaporated to a gum and partitioned between 1:1 ethyl acetate and 4% NaHCO<sub>3</sub> solution (pH 7.9) (100ml each). The organic layer was washed with saturated NaCl solution, dried over anhydrous Na<sub>2</sub>SO<sub>4</sub> and evaporated to yield the title compound as a pale yellow foam (4.6g, 91%). <sup>13</sup>C NMR (DMSO-d<sub>6</sub>) δ 12.27, 16.71, 22.58, 22.80, 25.42, 26.19, 27.20, 28.49, 30.41, 30.56, 34.36, 34.82, 34.82, 35.31, 39.09, 39.09, 41.38, 41.53, 45.84, 46.05, 66.25, 70.45, 71.03, 169.28 and 170.16.

**EXAMPLE 2****Heterocyclic Base Cholic Acid End-Labeled Oligodeoxynucleotide**

An oligonucleotide of the sequence:

Oligomer 1: TTG CTT\* CCA TCT TCC TCG TC

wherein T\* represents a nucleotide functionalized to included a cholic acid linked via a linker to the heterocyclic base of a 2'-deoxyuridine nucleotide was prepared in a 1 μmol scale. Oligomer 1 is useful as an HPV antisense oligonucleotide.

**A. Preparation of Intermediate Linker**

The linker of the structure -CH=CH-C(O)-NH-(CH<sub>2</sub>)<sub>6</sub>-NH-C(O)-CF<sub>3</sub> was introduced via a suitable protected and activated 2'-deoxyuridine phosphoramidate intermediate obtained from Glen Research Corporation as Amino-Modifier-dT. The oligonucleotide bearing the linker thereon was deprotected and purified by HPLC.

**B. Preparation of Cholic Acid Functionalized Oligonucleotide**

An aliquot of the linker bearing oligonucleotide of Example 2-A (approximately 100 O.D. units, 550 nmols) was dissolved in 500 μl of 0.2M NaHCO<sub>3</sub> buffer and to this solution cholic acid N-hydroxysuccinimide ester (Compound 1, 75 mg, 149

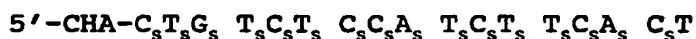
$\mu$ moles) was added and heated at 45°C overnight. It was then passed through a Sephadex G-25 (1.0 x 25 cm) column. Concentration of the oligonucleotide fractions to 2 ml followed by HPLC purification yielded the desired conjugate wherein cholic acid is internally linked at C-5 of the heterocyclic base.

### EXAMPLE 3

#### 5'-Terminus Cholic Acid End-Labeled Oligodeoxynucleotide

A phosphorothioate oligonucleotide having cholic acid attached to its 5'-terminus of the oligonucleotide sequence:

Oligomer 2:



wherein CHA represents cholic acid and the subscript "s" represents a phosphorothioate inter-nucleotide backbone linkage was prepared.

#### A. Preparation of Intermediate Linker

The oligonucleotide sequence having a 5'-terminus amino group was synthesized on a 3 x 1.0  $\mu$ mol scale in the standard manner on the DNA synthesizer utilizing phosphoramidite methodology. The phosphorothioate intra-nucleotide backbone was formed using a phosphorothioate reagent (Beaucage reagent, i.e., 3H-1,2-benzodithioate-3-one 1,1-dioxide; see, Radhakrishnan, et al., *J. Am. Chem. Soc.* 1990, 112, 1253). The Aminolink-2 reagent was used at the last step of the oligonucleotide synthesis. Deprotection with concentrated  $\text{NH}_4\text{OH}$  for 16 hrs at 55°C yielded the 5'-aminolinker-oligonucleotide.

#### B. Preparation of Cholic Acid Functionalized Oligonucleotide

The crude 5'-aminolinker-oligonucleotide of Example 3-A (100 O.D. units, approximately 600 nmols based on the calculated extinction coefficient of  $1.6756 \times 10^5$  at 260 nm) was dissolved in freshly prepared  $\text{NaHCO}_3$  buffer (500  $\mu$ l, 0.2M, pH 8.1) and treated with a solution of cholic acid N-hydroxysuccinimide ester (Compound 1, 75 mg, 149  $\mu$ moles) dissolved in 200  $\mu$ l of DMF. The reaction mixture was heated

at 45°C overnight. It was then passed through a Sephadex G-25 (1.0 x 25 cm) column. Concentration of the oligonucleotide fractions to 2 ml followed by HPLC purification yielded 54 OD units of the desired conjugate (54% yield). HPLC retention times were: 37.42 for the unreacted oligonucleotide and any failure sequences produced during oligonucleotide synthesis and 54.20 for the final product.

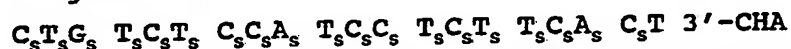
#### EXAMPLE 4

##### 3'-Terminus Cholic Acid End Labeled Oligodeoxynucleotide

##### 10 A. 3'-Terminus Cholic Acid Oligonucleotide

A phosphorothioate oligonucleotide having cholic acid attached to its 3'-terminus of the oligonucleotide sequence:

Oligomer 3:



15 wherein CHA represents cholic acid and the subscript "s" represents a phosphorothioate inter-nucleotide backbone linkage was prepared.

##### 1. Preparation of Intermediate Linker

The oligonucleotide sequence having a 3'-terminus amino group was synthesized using 3'-amino modifier controlled pore glass (CPG) from Clontech Laboratories (Palo Alto, CA) as the solid support. The above-noted Beaucage reagent was utilized to form the phosphorothioate inter-nucleotide backbone. The synthesis was conducted in a "Trityl-Off" mode. The resultant solid support was deprotected with concentrated  $NH_4OH$  for 16 hrs at 55°C. Purification on a Sephadex G-25 column yielded a 3'-amino functionalized phosphorothioate oligonucleotide of the specified oligonucleotide sequence.

##### 2. Preparation of Cholic Acid Functionalized Oligonucleotide

30 The crude oligonucleotide of Example 4-A-1 (50 O.D. units, approximately 300 nmols) was reacted with cholic acid N-hydroxysuccinimide ester (Compound 1, 40 mg) as per the procedure of Example 3. HPLC retention times were 37.45 for the starting oligonucleotide material and 51.68 for the product.

**B. 3'-Terminus Cholic Acid Oligonucleotide**

A phosphorothioate oligonucleotide having cholic acid attached to its 3'-terminus and of the oligonucleotide sequence:

5 Oligomer 4:

$T_s G_s G_s G_s A_s G_s C_s C_s A_s T_s A_s G_s C_s G_s A_s G_s G_s C$  3'-CHA

wherein CHA represents cholic acid and the subscript "s" represents a phosphorothioate inter-nucleotide backbone linkage was prepared in the same manner as for the  
10 oligonucleotide of Example 4-A-2.

**EXAMPLE 5****3'-Terminus Cholic Acid & 5'-Terminus Cholic Acid Di-End-Labeled Oligodeoxynucleotide**

A phosphorothioate oligonucleotide having cholic acid  
15 attached to both of the 3'-terminus and the 5'-terminus of the oligonucleotide sequence:

Oligomer 5:

5'-CHA  $C_s T_s G_s T_s C_s T_s C_s C_s A_s T_s C_s T_s T_s C_s A_s C_s T$  3'-CHA

wherein CHA represents cholic acid and the subscript "s" represents a phosphorothioate inter-nucleotide backbone linkage was synthesized on a 3 x 1.0  $\mu$ mol scale. Oligomer 5  
20 has the same sequence as Oligomer 1 except for the cholic acid functionalization.

**A. Preparation of Intermediate Linker**

25 The oligonucleotide synthesis was conducted using a 3'-amino modified controlled pore glass (CPG) from Clontech Laboratories as the solid support. Oligonucleotide synthesis was conducted utilizing phosphoramidite synthetic methodology and the Beaucage reagent to form a phosphorothioate inter-  
30 nucleotide backbone as per Example 4 above. Upon completion of the basic sequence and while still on the DNA synthesizer, Aminolink-2 reagent was used to introduce a 5'-terminus amino functionality on to the oligonucleotide. Deprotection with concentrated ammonium hydroxide and purification on a Sephadex  
35 G-25 column yielded 3',5'-diaminolinker oligonucleotide.

### B. Preparation of Cholic Acid Functionalized Oligonucleotide

The crude di-aminolinker oligonucleotide (50 O.D. units, approximately 300 nmols, based on the calculated extinction coefficient of  $1.6756 \times 10^5$  at 260 nm) was dissolved in freshly prepared  $\text{NaHCO}_3$  buffer (500  $\mu\text{l}$ , 0.2M, pH 8.1) and treated with a solution of cholic acid N-hydroxysuccinimide ester (Compound 1, 50 mg, 98.6  $\mu\text{mols}$ ) dissolved in 200  $\mu\text{l}$  of DMF. The reaction mixture was heated at 45°C overnight. It was then passed through a Sephadex G-25 (1.0 x 25 cm) column. The oligonucleotide fractions were concentrated to 2 ml and purified by reverse phase HPLC. Retention times were 37.76 for unreacted oligonucleotide, 51.65 for 3'-cholic acid conjugated oligonucleotide, 54.34 for 5'-cholic acid conjugated oligonucleotide and 58.75 for 3',5'-di-cholic acid conjugated oligonucleotide. The 58.75 min. product was desalted on a Sephadex G-25 column to yield 11 O.D units (22%) of the desired product.

### EXAMPLE 6

#### 20 3'-Terminus Cholic Acid or 5'-Terminus Cholic Acid Functionalized, 2'-O-Methyl Derivatized Oligodeoxynucleotides

Phosphorothioate oligonucleotides having cholic acid attached to either the 3'-terminus end or the 5'-terminus end of the oligonucleotide sequence and further being uniformly functionalized to include a 2'-O-methyl group on each of the nucleotides of the oligonucleotide were synthesized. The following oligonucleotides having uniform 2'-O-methyl substitutions were synthesized:

- Oligomer 6: 5'-CHA CCC AGG CUC AGA 3';  
30 Oligomer 7: 5' CCC AGG CUC AGA 3'-CHA; and  
Oligomer 8: 5'-CHA GAG CUC CCA GGC 3'.

#### A. Preparation of Intermediate Linker

Synthesis of the intermediate 5' or 3'-aminolinker oligonucleotide was conducted utilizing 2'-O-methyl phosphoramidite nucleotides available from Chemgenes Inc. (Needham, MA) and phosphoramidite chemistry as per Examples 3 and 4



above, respectively. Each of the intermediate oligonucleotides were deprotected in concentrated  $\text{NH}_4\text{OH}$ , evaporated and de-salted on a Sephadex G-25 column.

**B. Preparation of Cholic Acid Functionalized  
5 Oligonucleotide**

The resultant crude oligonucleotides from Example 6-A-1 (from 30 to 40 O.D. units, 250-350 nmols based on calculated extinction coefficients of  $1.1797 \times 10^5$ ,  $1.1777 \times 10^5$  and  $1.1481 \times 10^5$  at 260 nm, respectively for Oligomers 6, 7 and 8) were dried and dissolved in 250  $\mu\text{l}$  of 0.2M  $\text{NaHCO}_3$  buffer and treated with a solution of cholic acid N-hydroxysuccinimide ester (Compound 1, from 30 to 40 mg, 60 to 80  $\mu\text{mol}$ s) dissolved in 500  $\mu\text{l}$  of 0.2 M  $\text{NaHCO}_3$  buffer and 200  $\mu\text{l}$  DMF and heated between 40-45°C for 12-24 hrs. The reaction mixtures were evaporated and dissolved in 2 ml of water and washed with 3 x 2 ml of ethyl acetate. The resultant aqueous solutions were purified by reverse phase HPLC. For Oligomer 6 the HPLC retention times were 34.65 for the starting oligonucleotide material and 58.75 for the product; for Oligomer 7 the HPLC retention times were 37.23 for the starting oligonucleotide material and 55.32 for the product; and for Oligomer 8 the HPLC retention times were 34.99 for the starting oligonucleotide material and 56.98 for the product. The products were evaporated and desalted on a Sephadex G-25 column. The yield averaged about 20% in each case.

**EXAMPLE 7**

**Oligonucleotides Having 2'-Protected-Amine Terminating Linking Group**

**A. Preparation of 5'-Dimethoxytrityl-2'-(O-Pentyl-N-phthalimido)-2'-Deoxyadenosine Phosphoramidite (Compound 2)**

To introduce a functionalization at the 2' position of nucleotides within desired oligonucleotide sequences, 5'-Dimethoxytrityl-2'-(O-pentyl-N-phthalimido)-2'-deoxyadenosine phosphoramidite (Compound 2) was utilized to provide a linking group attached to the 2' position of nucleotide components of an oligonucleotide. Compound 2 was synthesized as per the

procedures of patent applications US91/00243 and 463,358, identified above starting from adenosine. Briefly this procedure treats adenosine with NaH in DMF followed by treatment with N-(5-bromopentyl)phthalimide. Further treatment with  $(\text{CH}_3)_3\text{SiCl}$ ,  $\text{Ph-C(O)-Cl}$  and  $\text{NH}_4\text{OH}$  yields N6-benzyl protected 2'-pentyl-N-phthalimido functionalized adenosine. Treatment with DIPA and  $\text{CH}_2\text{Cl}_2$  adds a DMT blocking group at the 5' position. Finally phosphitylation gives the desired phosphoramidite compound, Compound 2. Compound 2 was utilized in the DNA synthesizer as a 0.09M solution in anhydrous  $\text{CH}_3\text{CN}$ . Oligonucleotide synthesis was carried out in either an ABI 390B or 394 synthesizer employing the standard synthesis cycles with an extended coupling time of 10 minutes during coupling of Compound 2 into the oligonucleotide sequence. Coupling efficiency of > 98% was observed for Compound 2 coupling.

**B. 2'-Protected-Amine Linking Group Containing Phosphodiester Oligonucleotides**

The following oligonucleotides having phosphodiester inter-nucleotide linkages were synthesized:

- Oligomer 9: 5' TA<sup>\*</sup>G 3';  
Oligomer 10: 5' CCA<sup>\*</sup>G 3';  
Oligomer 11: 5' GGC TGA<sup>\*</sup> CTG CG 3';  
Oligomer 12: CTG TCT CCA<sup>\*</sup> TCC TCT TCA CT; and  
Oligomer 13: CTG TCT CCA<sup>\*</sup> TCC TCT TCA<sup>\*</sup> CT

wherein A<sup>\*</sup> represents a nucleotide functionalized to incorporate a pentyl-N-phthalimido functionality. Oligomers 12 and 13 are antisense compounds to the E2 region of the bovine papilloma virus-1 (BPV-1). Oligomers 12 and 13 have the same sequence as Oligomer 3 except for the 2' modification. The oligonucleotides were synthesized in either a 10  $\mu\text{mol}$  scale or a 3 x 1  $\mu\text{mol}$  scale in the "Trityl-On" mode. Standard deprotection conditions (30%  $\text{NH}_4\text{OH}$ , 55°C, 24 hr) were employed. The oligonucleotides were purified by reverse phase HPLC (Waters Delta-Pak C<sub>4</sub> 15  $\mu\text{m}$ , 300A, 25x100 mm column equipped with a guard column of the same material). They were detritylated and further purified by size exclusion using a

Sephadex G-25 column. NMR analyses by both proton and phosphorus NMR confirmed the expected structure for the Oligomers 9 and 10.

**C. 2'-Protected-Amine Linking Group Containing  
5 Phosphorothioate Oligonucleotides**

The following oligonucleotides having phosphorothioate inter-nucleotide linkages were synthesized:

Oligomer 14:

$T_sT_sG_s C_sT_sT_s C_sC_sA^*_s T_sC_sT_s T_sC_sC_s T_sC_sG_s T_sC$ ;

10 Oligomer 15:

$T_sG_sG_s G_sA_sG_s C_sC_sA_s T_sA_sG_s C_sG_sA^*_s G_sG_sC$ ; and

Oligomer 16:

$T_sG_sG_s G_sA^*_sG_s C_sC_sA^*_s T_sA^*_sG_s C_sG_sA^*_s G_sG_sC$

wherein A\* represents a nucleotide functionalized to  
15 incorporate a pentyl-N-phthalimido functionality and the subscript "s" represents a phosphorothioate inter-nucleotide backbone linkage. Oligomer 14 is an antisense compound directed to the E2 region of the bovine papilloma virus-1 (BPV-1). Oligomers 15 and 16 are antisense compounds to ICAM.  
20 Oligomer 14 has the same sequence as Oligomer 3 except for the 2' modification whereas Oligomers 15 and 16 have the same sequence as Oligomer 4 except for the 2' modification. These oligonucleotides were synthesized as per the method of Example 7-B except during the synthesis, for oxidation of the  
25 phosphite moieties, the Beaucage reagent (see Example 3 above) was used as a 0.24 M solution in anhydrous  $CH_3CN$  solvent. The oligonucleotides were synthesized in the "Trityl-On" mode and purified by reverse phase HPLC utilizing the purification procedure of Example 7-B.

**30 D. 2'-O-Methyl Derivatized, 2'-Protected-Amine Linking Group Containing RNA Oligonucleotides**

The following oligonucleotides having 2'-O-methyl groups on each nucleotide not functionalized with a 2'-protected amine functionalization were synthesized:

35 Oligomer 17: CCA A\*GC CUC AGA; and

Oligomer 18: CCA GGC UCA GA\*T

wherein A\* represents a nucleotide functionalized to incorporate a pentyl-N-phthalimido functionality and where the remaining nucleotides except the 3'-terminus nucleotide are each 2'-O-methyl derivatized nucleotides. The 3'-terminus nucleotide in both Oligomers 17 and 18 is a 2'-deoxy nucleotide. Both Oligomers 17 and 18 are antisense compounds to the HIV-1 TAR region. The oligonucleotides were synthesized as per the method of Example 6 utilizing Compound 2 for introduction of the nucleotides containing the pentyl-N-phthalimido functionality and appropriate 2-O-methyl phosphoramidite nucleotides from Chemgenes Inc. (Needham, MA) for the remaining RNA nucleotides. The 3'-terminus terminal 2'-deoxy nucleotides were standard phosphoamidites utilized for the DNA synthesizer. The oligonucleotides were deprotected and purified as per the method of Example 7-B.

#### EXAMPLE 8

##### Functionalization Of Oligonucleotides At the 2' Position

###### A. Functionalization with Biotin

###### 1. Single Site Modification

About 10 O.D. units ( $A_{260}$ ) of Oligomer 12 (see Example 7) (approximately 60 nmols based on the calculated extinction coefficient of  $1.6756 \times 10^5$ ) was dried in a microfuge tube. The oligonucleotide was dissolved in 200  $\mu$ l of 0.2 M  $\text{NaHCO}_3$  buffer and D-biotin-N-hydroxysuccinimide ester (2.5 mg, 7.3  $\mu$ mol) (Sigma, St. Louis, MO) was added followed by 40  $\mu$ l DMF. The solution was let stand overnight. The solution was applied to a Sephadex G-25 column (0.7 x 15 cm) and the oligonucleotide fractions were combined. Analytical HPLC showed nearly 85% conversion to the product. The product was purified by HPLC (Waters 600E with 991 detector, Hamilton PRP-1 column 0.7 x 15 cm; solvent A: 50 mM TEAA pH 7.0; B: 45 mM TEAA with 80% acetonitrile: 1.5 ml flow rate: Gradient: 5% B for first 5 mins., linear (1%) increase in B every minute thereafter) and further desalted on Sephadex G-25 to give the oligonucleotide:

Oligomer 19: CTG TCT CCA\* TCC TCT TCA CT

wherein A\* represents a nucleotide functionalized to incorporate a biotin functionality linked via a 2'-O-pentyl-amino linking group to the 2' position of the designated nucleotide. HPLC retention times are shown in Table 1 below.

5                   **2. Multiple Site Modification**

          About 10 O.D. units (A<sub>260</sub>) of Oligomer 13 (see Example 7, approximately 60 nmols) was treated utilizing the method of Example 8-A-1 with D-biotin-N-hydroxysuccinimide ester (5 mg) in 300 µl of 0.2 M NaHCO<sub>3</sub> buffer/ 50 µl DMF. Analytical  
10 HPLC showed 65% of double labeled oligonucleotide product and 30% of single labeled products (from the two available reactive sites). HPLC and Sephadex G-25 purification gave the oligonucleotide:

          Oligomer 20:   CTG TCT CCA\* TCC TCT TCA\* CT

15 wherein A\* represents nucleotides functionalized to incorporate a biotin functionality linked via a 2'-O-pentyl-amino linking group to the 2' position of the designated nucleotide. HPLC retention times for this product (and its accompanying singly labeled products) are shown in Table 1  
20 below.

**B. Functionalization with Fluorescein**

**1. Single Site Modification**

          A 1M Na<sub>2</sub>CO<sub>3</sub>/1M NaHCO<sub>3</sub> buffer (pH 9.0) was prepared by adding 1M NaHCO<sub>3</sub> to 1 M Na<sub>2</sub>CO<sub>3</sub>. 200 µl of this buffer was  
25 added to 10 O.D. units of Oligomer 12 (see Example 7) in a microfuge tube. 10 mg of fluorescein-isocyanate in 500 µl DMF was added to give a 0.05 M solution. 100 µl of the fluorescein solution was added to the oligonucleotide solution in the microfuge tube. The tube was covered with aluminum  
30 foil and let stand overnight. The reaction mixture was applied to a Sephadex G-25 column (0.7 x 20 cm) that had been equilibrated with 25% (v/v) ethyl alcohol in water. The column was eluted with the same solvent. Product migration could be seen as a yellow band well separated from dark yellow  
35 band of the excess fluorescein reagent. The fractions showing absorption at 260 nm and 485 nm were combined and purified by HPLC as per the purification procedure of Example 8-A-1.

Analytical HPLC indicated 81% of the desired doubly functionalized oligonucleotide. The product was lyophilized and desalted on Sephadex to give the oligonucleotide:

Oligomer 21: CTG TCT CCA\* TCC TCT TCA CT

5 wherein A\* represents a nucleotide functionalized to incorporate a fluorescein functionality linked via a 2'-O-pentyl-amino linking group to the 2' position of the designated nucleotide. HPLC retention times are shown in Table 1 below.

10 2. Multiple Site Modification

10 O.D. units ( $A_{260}$ ) of Oligomer 13 (from Example 7) was dissolved in 300  $\mu$ l of the 1M  $\text{Na}_2\text{HCO}_3$ / 1M  $\text{Na}_2\text{CO}_2$  buffer of Example 8-B-1 and 200  $\mu$ l of the fluorescein-isothiocyanate stock solution of Example 8-B-1 was added. The resulting  
15 solution was treated as per Example 8-B-1. Analytical HPLC indicated 61% of doubly labeled product and 38% of singly labeled products. Work up of the reaction gave the oligonucleotide:

Oligomer 22: CTG TCT CCA\* TCC TCT TCA\* CT

20 wherein A\* represents nucleotides functionalized to incorporate a fluorescein functionality linked via a 2'-O-pentyl-amino linking group to the 2' position of the designated nucleotide. HPLC retention times are shown in Table 1 below.

25 C. Functionalization with Cholic Acid

1. Single Site Modification

10 O.D. units ( $A_{260}$ ) of Oligomer 12 (see Example 7) was treated with cholic acid-NHS ester (Compound 1, 5 mg, 9.9  $\mu$ moles) in 200  $\mu$ l of 0.2 M  $\text{NaHCO}_3$  buffer/ 40  $\mu$ l DMF. The  
30 reaction mixture was heated for 16 hrs at 45°C. The product was isolated as per the method of Example 8-A-1. Analytical HPLC indicated > 85% product formation. Work up of the reaction gave the oligonucleotide:

Oligomer 23: CTG TCT CCA\* TCC TCT TCA CT

35 wherein A\* represents a nucleotide functionalized to incorporate a cholic acid functionality linked via a 2'-O-pentyl-amino linking group to the 2' position of the

designated nucleotide. HPLC retention times are shown in Table 1 below.

## 2. Multiple Site Modification

10 O.D. units ( $A_{260}$ ) of Oligomer 13 (see Example 7) was  
5 treated with cholic acid-NHS ester (Compound 1, 10 mg, 19.8  $\mu$ moles) in 300  $\mu$ l of 0.2 M  $\text{NaHCO}_3$  buffer/ 50  $\mu$ l DMF. The reaction mixture was heated for 16 hrs at 45°C. The product was isolated as per the method of Example 8-A-1. Analytical HPLC revealed 58% doubly labeled product, 17% of a first  
10 singly labeled product and 24% of a second singly labeled product. Work up as per Example 8-A-1 gave the oligonucleotide:

Oligomer 24: CTG TCT CCA\* TCC TCT TCA\* CT

wherein A\* represents nucleotides functionalized to  
15 incorporate a cholic acid functionality linked via a 2'-O-pentyl-amino linking group to the 2' position of the designated nucleotide. HPLC retention times are shown in Table 1 below.

## D. Functionalization with Digoxigenin

### 20 1. Single Site Modification

10 O.D. units ( $A_{260}$ ) of Oligomer 12 (see Example 7) was treated with digoxigenin-3-O-methylcarbonyl- $\epsilon$ -aminocaproic N-hydroxy succinimide ester (Boehringer Mannheim Corporation, Indianapolis, IN) in 200  $\mu$ l of 0.1 M borate pH 8.3 buffer/ 40  
25  $\mu$ l DMF. The reaction mixture was let stand overnight. The product was isolated as per the method of Example 8-A-1. Work up of the reaction gave the oligonucleotide:

Oligomer 25: CTG TCT CCA\* TCC TCT TCA CT

wherein A\* represents a nucleotide functionalized to  
30 incorporate a digoxigenin functionality linked via a 2'-O-pentyl-amino linking group to the 2' position of the designated nucleotide. HPLC retention times are shown in Table 1 below.

## 2. Multiple Site Modification

35 10 O.D. units ( $A_{260}$ ) of Oligomer 13 (see Example 7) was treated with digoxigenin-3-O-methylcarbonyl- $\epsilon$ -aminocaproic N-hydroxy succinimide ester (Boehringer Mannheim Corporation,

Indianapolis, IN) in 300  $\mu$ l of 0.1 M borate pH 8.3 buffer / 50  $\mu$ l DMF. The reaction mixture was let stand overnight. The product was isolated as per the method of Example 8-A-1. Work up as per Example 8-A-1 gave the oligonucleotide:

5 Oligomer 26: CTG TCT CCA<sup>\*</sup> TCC TCT TCA<sup>\*</sup> CT

wherein A<sup>\*</sup> represents nucleotides functionalized to incorporate a cholic acid functionality linked via a 2'-O-pentyl-amino linking group to the 2' position of the designated nucleotide. HPLC retention times are shown in  
10 Table 1 below.

TABLE 1

HPLC Retention Times Of Oligonucleotides Functionalized  
At 2' Position

15	Oligomer	Retention Time Minutes	
		Mono Substitution	Multiple Substitution
20	Oligomer 12 <sup>1</sup>	21.78	
	Oligomer 13 <sup>1</sup>		22.50
	Oligomer 19 <sup>2</sup>	23.58	
	Oligomer 20 <sup>2</sup>		24.16 <sup>a</sup> 25.19 <sup>b</sup>
	Oligomer 21 <sup>3</sup>	26.65	
25	Oligomer 22 <sup>3</sup>		26.99 <sup>a</sup> 29.33 <sup>b</sup>
			27.55 <sup>a</sup>
	Oligomer 23 <sup>4</sup>	30.10	
	Oligomer 24 <sup>4</sup>		30.38 <sup>a</sup> 37.00 <sup>b</sup>
			32.22 <sup>a</sup>
30	Oligomer 25 <sup>5</sup>	28.06	
	Oligomer 26 <sup>5</sup>		28.14 <sup>a</sup> 33.32 <sup>b</sup>
35			29.24 <sup>a</sup>

30 Conditions: Waters 600E with 991 detector, Hamilton PRP-1 column 0.7 x 15 cm; solvent A: 50 mM TEAA pH 7.0; B: 45 mM TEAA with 80% acetonitrile: 1.5 ml flow rate: Gradient: 5% B for first 5 mins., linear (1%) increase in B every minute thereafter;  
35

<sup>a</sup> Mono conjugated minor product;  
<sup>b</sup> Doubly conjugated major product;  
1 Parent Oligonucleotide - no 2' functionalization;  
2 2' Biotin functionalization;  
3 2' Fluorescein functionalization;  
40 4 2' Cholic Acid functionalization; and  
5 2' Digoxigenin functionalization.



**EXAMPLE 9****Functionalization Of Oligonucleotide At the 2' Position with Reporter Enzymes, Peptides and Proteins****A. Use of Heterobifunctional Linker****5                    1.        Synthesis of Oligonucleotide-Maleimide Conjugate**

Oligomer 12 (Example 7) (100 O.D. units, 600 nmols) is lyophilized in a 5 ml pear-shaped flask. Sulfo-SMCC reagent, Pierce Chemical Co. (Rockford, Il) (16 mg, 46  $\mu$ mol) is  
10 dissolved in phosphate buffer (800  $\mu$ l, 0.1M, pH 7.0) and added to the oligonucleotide bearing flask. An additional 200  $\mu$ l of buffer are used to wash the reagent and transfer it to the oligonucleotide flask. The contents of the flask are stirred overnight and loaded on to a Sephadex G-25 column (1 x 40 cm)  
15 equipped with a fraction collector. The oligonucleotide-maleimide conjugate containing fractions are collected and tested by analytical HPLC for separation from other NHS type products.

**2. Synthesis of Oligonucleotide-Peptide Conjugate**

20        An aliquot of the oligonucleotide-maleimide conjugate of Example 9-A-1 (about 50 O.D. units, 300 nmols) is lyophilized in a microfuge tube. SV40 peptide (pro-asp-lys-lys-arg-lys-cys) (2.5 mg, about 2.5  $\mu$ mol) is taken up in phosphate buffer (800  $\mu$ l, 0.1 M, pH 7.0) and added to the  
25 oligonucleotide-maleimide conjugate containing tube. The contents of the tube are stirred overnight under an argon atmosphere. The reaction mixture is passed through a Sephadex G-25 column and the oligonucleotide-peptide conjugate fractions are identified by HPLC. Isolation of the product  
30 from product-bearing fractions via HPLC and desalting on Sephadex G-25 will yield an oligonucleotide of the sequence:

Oligomer 27: CTG TCT CCA<sup>\*</sup> TCC TCT TCA CT

wherein A<sup>\*</sup> represents a nucleotide functionalized to incorporate a SV40 peptide functionality linked via a 2'-O-pentyl-amino-sulfo-SMCC (sulfosuccinimidyl-4-(N-maleimidomethyl)cyclohexane-1-carboxylate) linking group to  
35 the 2' position of the designated nucleotide.

**B. Use of Homobifunctional Linker****1. Synthesis of Oligonucleotide-Disuccinimidyl Suberate Conjugate**

An aliquot (10 O.D. units, 60 nmols) of Oligomer 12 (Example 7) is evaporated to dryness and is dissolved in freshly prepared 0.1 M  $\text{NaHCO}_3$ /50 mM EDTA (100  $\mu\text{l}$ , pH 8.25). The solution is then treated with a solution of DSS, Pierce Chemical Co. (Rockford, IL) (2.6 mg, 7  $\mu\text{mol}$ ) in 200  $\mu\text{l}$  DMSO. The solution is stored at room temperature for 15 minutes and then immediately applied to a Sephadex G-25 column (1 x 40 cm) that is previously packed and washed with water at 4°C. The oligonucleotide fractions are combined immediately in a 25 ml pear-shaped flask and are rapidly frozen in dry ice/isopropyl alcohol and lyophilized to a powder.

**2. Synthesis of Oligonucleotide-Protein Conjugate**

A solution of calf intestinal alkaline phosphatase (Boehringer Mannheim) (20.6 mg, 2.06 ml, 147 nmol) is spun at 4°C in a Centricon microconcentrator at 6000 rpm until the volume is less than 50  $\mu\text{l}$ . It is then redissolved in 1 ml of cold Tris buffer (pH 8.5, 0.1M containing 0.1 NaCl and 0.05 M  $\text{MgCl}_2$ ) and concentrated twice more. Finally the concentrate is dissolved in 400  $\mu\text{l}$  of the same buffer. This solution is added to the activated oligonucleotide from Example 9-B-1 and the solution stored for 18 hrs at room temp. The product is diluted to approximately 30 ml and applied to a Sephadex G-25 column (1 x 20 cm, chloride form) maintained at 4°C. The column is eluted with 50 mM Tris-Cl pH 8.5 until the UV absorbance of the fractions eluted reach near zero values. The column is then eluted with a NaCl salt gradient 0.05 M to 0.75 M (150 ml each). The different peaks are assayed for both oligonucleotide and alkaline phosphatase activity and the product bearing fractions are combined. Typically the first peak will be excess enzyme, the second peak the oligonucleotide-protein conjugate and the third peak unreacted oligonucleotide. Isolation of the product from the product-bearing fractions via HPLC and desalting on Sephadex G-25 will yield an oligonucleotide of the sequence:

Oligomer 28: CTG TCT CCA<sup>\*</sup> TCC TCT TCA CT

wherein A<sup>\*</sup> represents a nucleotide functionalized to incorporate an alkaline phosphatase functionality linked via an 2'-O-pentyl-amino-sulfo-SMCC (sulfo succinimidyl-4-(N-maleimidomethyl)cyclohexane-1-carboxylate) linking group to the 2' position of the designated nucleotide.

#### EXAMPLE 10

##### Heterocyclic Base Peptide-Conjugated Oligonucleotides

Utilizing the method of Example 9-A-1 the intermediate amino linker oligonucleotide of Example 2-A is reacted with sulfo-SMCC reagent. The isolated oligonucleotide-maleimide conjugate is then further reacted with SV40 peptide as per Example 9-A-2. This will give an oligonucleotide of the structure:

Oligomer 29: TTG CTT<sup>\*</sup> CCA TCT TCC TCG TC

wherein T<sup>\*</sup> represents a nucleotide functionalized to include a peptide linked via an extended linker to the heterocyclic base of a 2'-deoxyuridine nucleotide.

#### EXAMPLE 11

##### 3'-Terminus Protein-Conjugated Oligonucleotides

Utilizing the method of Example 9-B-1 the 2'-O-methyl derivatized intermediate amino linker oligonucleotide of Example 6-A is reacted with DSS reagent. The isolated oligonucleotide-disuccinimidyl suberate conjugate is then further reacted with a lysine containing Nuclease RNase H using the method of Example 9-B-2. This will give an oligonucleotide of the structure:

Oligomer 30: C<sub>s</sub>C<sub>s</sub>C<sub>s</sub> A<sub>s</sub>G<sub>s</sub>G<sub>s</sub> C<sub>s</sub>U<sub>s</sub>C<sub>s</sub> A<sub>s</sub>G<sub>s</sub>A-3'-protein

wherein protein represents RNase H, the subscript "s" represents a phosphorothioate inter-nucleotide backbone linkage and each of the nucleotides of the oligonucleotide includes a 2'-O-methyl group thereon.

**EXAMPLE 12****5'-Terminus Protein-Conjugated 2'-O-Methyl Derivatized Oligonucleotides**

Utilizing the method of Example 9-B-1 the 2'-O-methyl  
5 derivatized intermediate amino linker oligonucleotide of  
Example 6-A (Oligomer 6) is reacted with DSS reagent. The  
isolated oligonucleotide-disuccinimidyl suberate conjugate is  
then further reacted with a lysine containing Staphylococcal  
Nuclease using the method of Example 9-B-2. This will give  
10 an oligonucleotide of the structure:

Oligomer 31: 5'-protein-C<sub>s</sub>C<sub>s</sub>C<sub>s</sub> A<sub>s</sub>G<sub>s</sub>G<sub>s</sub> C<sub>s</sub>U<sub>s</sub>C<sub>s</sub> A<sub>s</sub>G<sub>s</sub>A 3'  
wherein protein represents Staphylococcal Nuclease, the  
subscript "s" represents a phosphorothioate inter-nucleotide  
backbone linkage and each of the nucleotides of the  
15 oligonucleotide includes a 2'-O-methyl group thereon.

**PROCEDURE A****Confirmation of Structure of Functionalized Oligonucleotides  
Containing A Tethered 2'-Amino Moiety**

Oligonucleotides of the invention were digested with  
20 snake venom phosphodiesterase and calf-intestine alkaline  
phosphatase to their individual nucleosides. After digestion,  
the nucleoside composition was analyzed by HPLC. The HPLC  
analysis established that functionalized nucleotide compounds  
having the tethered 2'-amino moiety thereon were correctly  
25 incorporated into the oligonucleotide.

Snake venom phosphodiesterase [Boehringer-Mannheim cat.  
#108260, 1 mg (1.5 units)/0.5 ml] and alkaline phosphatase  
from calf intestine (1 unit/microliter, Boehringer-Mannheim  
cat. # 713023) in Tris-HCl buffer (pH 7.2, 50 mM) were used  
30 to digest the oligonucleotides to their component nucleosides.  
To 0.5 O.D. units of oligonucleotide in 50 µl buffer (nearly  
40 µM final concentration for a 20 mer) was added 5 µl of  
snake venom phosphodiesterase (nearly 0.3 units/mL, final  
concentration) and 10 µl of alkaline phosphatase (app. 150  
35 units/mL, final concentration). The reaction mixture was  
incubated at 37°C for 3 hours. Following incubation, the  
reaction mixture was analyzed by HPLC using a reverse phase

analytical column (app. 30 x 2.5 cm); solvent A: 50 mM TEAA pH 7; solvent B: acetonitrile; gradient 100% for 10 mins, then 5% B for 15 mins, then 10% B and then wash. The results of these digestion are shown in Table 2 for representative  
5 oligonucleotides.

**TABLE 2**  
**OLIGONUCLEOTIDE ANALYSIS VIA ENZYMATIC DIGESTION**

	Oligomer	Observed Ratios**					A
		Abs. max.	267 C	252 G	267 T	260 A	
10	Oligomer 10		2	1		1	
	Oligomer 11		3	5	2	1	
	Oligomer 12		9	1	8	1	1
15	Oligomer 13		9	1	8	2	

\* Nucleoside having 2'-O-linker attached thereto; and  
\*\* Corrected to whole numbers.

As is evident from Table 2, the correct nucleoside ratios are  
20 observed for the component nucleotides of the test oligonucleotides.

#### **PROCEDURE B**

#### **Determination of Melting Temperatures (T<sub>m</sub>'s) of Cholic Acid Oligonucleotide Conjugates**

25 The relative ability of oligonucleotides to bind to their complementary strand is compared by determining the melting temperature of the hybridization complex of the oligonucleotide and its complementary strand. The melting temperature (T<sub>m</sub>), a characteristic physical property of double  
30 helices, denotes the temperature in degrees centigrade at which 50% helical versus coil (un-hybridized) forms are present. T<sub>m</sub> is measured by using the UV spectrum to determine the formation and breakdown (melting) of hybridization. Base stacking, which occurs during hybridization, is accompanied  
35 by a reduction in UV absorption (hypochromicity). Consequently a reduction in UV absorption indicates a higher T<sub>m</sub>. The higher the T<sub>m</sub>, the greater the strength of the binding of the strands. Non-Watson-Crick base pairing has a strong destabilizing effect on the T<sub>m</sub>. Consequently, absolute

fidelity of base pairing is necessary to have optimal binding of an antisense oligonucleotide to its targeted RNA.

# 1. Terminal End Conjugates

## a. Synthesis

5 A series of oligonucleotides were synthesized utilizing standard synthetic procedures (for un-functionalized oligonucleotides) or the procedure of Example 3-A above for oligonucleotides having a 5'-terminus amino linker bearing oligonucleotide or the procedure of Example 3-B for 5'-  
10 terminus cholic acid-bearing oligonucleotides. Each of the oligonucleotides had the following 5-LO antisense sequence: 5' TCC AGG TGT CCG CAT C 3'. The nucleotides were synthesized on a 1.0  $\mu$ mol scale. Oligomer 32 was the parent compound having normal phosphodiester inter-nucleotide linkages.  
15 Oligomer 33 incorporated phosphorothioate inter-nucleotide linkages in the basic oligonucleotide sequence. Oligomer 34 is a an intermediate oligonucleotide having a 5'-aminolink at the 5'-terminus of the basic oligonucleotide sequence and Oligomer 35 was a similar 5'-aminolink compound incorporating  
20 phosphorothioate inter-nucleotide linkages. Oligomer 36 is a 5'-terminus cholic acid conjugate of the basic phosphodiester oligonucleotide sequence while Oligomer 37 is a similar 5'-cholic acid conjugate incorporating phosphorothioate inter-nucleotide linkages. Oligomers 32 and  
25 33 were synthesized in a "Trityl-On" mode and were purified by HPLC. Oligomers 34 and 35 were synthesized as per Example 30-A above without or with Beaucage reagent treatment, to yield phosphodiester or phosphorothioate inter-nucleotide linkages, respectively. Oligomers 36 and 37 were prepared  
30 from samples of Oligomers 34 and 35, respectively, utilizing a solution of cholic acid N-hydroxysuccinimide ester (Compound 1) 1 dissolved in DMF as per Example 3-B. Oligomers 36 and 37 were purified by HPLC. The products were concentrated and desalted in a Sephadex G-25 column. Gel electrophoresis  
35 analyses also confirmed a pure product with the pure conjugate moving slower than the parent oligonucleotide or 5'-amino functionalized oligonucleotide.

**b. Melting Analysis**

The test oligonucleotides [either the phosphodiester, phosphorothioate, cholic acid conjugated phosphodiester, cholic acid conjugated phosphorothioate or 5'-aminolink intermediate phosphodiester or phosphorothioate oligonucleotides of the invention or otherwise] and either the complementary DNA or RNA oligonucleotides were incubated at a standard concentration of 4 uM for each oligonucleotide in buffer (100 mM NaCl, 10 mM Na-phosphate, pH 7.0, 0.1 mM EDTA). Samples were heated to 90 degrees C and the initial absorbance taken using a Guilford Response II spectrophotometer (Corning). Samples were then slowly cooled to 15 degrees C and then the change in absorbance at 260 nm was monitored during the heat denaturation procedure. The temperature was elevated 1 degree/absorbance reading and the denaturation profile analyzed by taking the 1st derivative of the melting curve. Data was also analyzed using a two-state linear regression analysis to determine the Tm's. The results of these tests are shown in Table 3 as are the HPLC retention times of certain of the test compounds.

**TABLE 3**

**Melting Temperature Of The Hybridization Complex Of The Oligonucleotide And Its Complementary Strand**

25	Oligomer	Tm**		HPLC Ret. Time* minutes
		DNA	RNA	
	32	62.6	62.0	----
	33	55.4	54.9	----
30	34	ND	ND	13.6
	35	ND	ND	17.0
	36	63.4	62.4	22.0
	37	56.3	55.8	22.5
35	*	HPLC conditions: Walters Delta Pak C-18 RP 2.5u column; at 0 min 100% 0.1 TEAA; at 30 min 50% TEAA and 50% Acetonitrile: Flow rate 1.0 ml/min.		
	**	Tm at 4μM each strand from fit of duplicate melting curves to 2-state model with linear		

sloping base line. Conditions: 100 mM NaCl, 10 mM Phosphate, 0.1 mM EDTA, pH 7.0.

ND = not determined

As is evident from Table 2, conjugates of cholic acid  
5 at the end of the oligonucleotide do not affect the  $T_m$  of the oligonucleotides.

## 2. Strands Incorporating 2'-O-Pentylamino Linker

### a. Synthesis

An oligonucleotide of the sequence:

10 Oligomer 38: GGA\* CCG GA\*A\* GGT A\*CG A\*G

wherein A\* represents a nucleotide functionalized to incorporate a pentylamino functionality at its 2'-position was synthesized in a one micromole scale utilizing the method of Example 7-B. The oligonucleotide was purified by reverse  
15 phase HPLC, detritylated and desalted on Sephadex G-25. PAGE gel analysis showed a single band. A further oligonucleotide, Oligomer 39, having the same sequence but without any 2'-O-amino linker was synthesis in a standard manner. A complementary DNA oligonucleotide of the sequence:

20 Oligomer 40: CCT GGC CTT CCA TGC TC.

was also synthesized in a standard manner as was a complementary RNA oligonucleotide of the sequence:

Oligomer 41: CCU GGC CUU CCA UGC UC

### b. Melting Analysis

25 Melting analysis was conducted as per the method of Procedure B-1-b. The results are shown in Table 4.



TABLE 4

**Melting Temperature Of The Hybridization Complex Of  
The Oligonucleotide And Its Complementary Strand**

5	Oligomer	DNA <sup>1</sup>	T <sub>m</sub> <sup>*</sup>
			RNA <sup>2</sup>
	38	54.5	58.0
	39	60.6	56.9
10	* T <sub>m</sub> at 4μM each strand from fit of duplicate melting curves to 2-state model with linear sloping base line. Conditions: 100 mM NaCl, 10 mM Phosphate, 0.1 mM EDTA, pH 7.0.		
	1	Against DNA complementary strand, Oligomer 40.	
15	2	Against RNA complementary strand, Oligomer 41	

As is evident from Table 4 against the RNA complementary strand the change in T<sub>m</sub>'s between the strand having 2'-amino linkers thereon and the unmodified strand is 1.1 degrees (0.22 change per modification). Against the DNA strand, the change is -6.1 degrees (-1.2 change per modification). When compared to the parent unmodified oligonucleotide the 2'-amino linker- containing strand has a stabilizing effect upon hybridization with RNA and a destabilizing effect upon hybridization with DNA.

Compounds of the invention were tested for their ability to increase cellular uptake. This was determined by judging either their ability to inhibit the expression of bovine papilloma virus-1 (BPV-1) or an assay involving luciferase production (for HIV-1).

### 30 PROCEDURE C

**Determination of Cellular Uptake Judged By The Inhibition Of Expression of Bovine Papilloma Virus-1 (bpv-1) As Measured By an E2 Transactivation Assay**

For this test, a phosphorothioate oligonucleotide analog of the sequence:

Oligomer 42: CTG TCT CCA TCC TCT TCA CT

was used as the basic sequence. This sequence is designed to be complementary to the translation initiation region of the E2 gene of bovine papilloma virus type 1 (BPV-1). Oligomer 42 served as the positive control and standard for the assay.

Oligomer 3 (from Example 4 above) served as a second test compound. It has the same basic sequence except it is a phosphorothioate oligonucleotide and further it has a cholic acid moiety conjugated at the 3'-end of the oligonucleotide.

5 Oligomer 2 (from Example 2 above) served as a third test compound. Again it is of the same sequence, it is a phosphorothioate oligonucleotide and it has a cholic acid moiety conjugated at the 5'-end. Oligomer 5 (from Example 5 above) served as a fourth test compound. Once again it has

10 the same sequence, is a phosphorothioate oligonucleotide and it has a cholic acid moiety conjugated at both the 3'-end and 5'-end. A fifth test compound was a phosphorothioate oligonucleotide with no significant sequence homology with BPV-1. A sixth test compound was a further phosphorothioate

15 oligonucleotide with no significant sequence homology with BPV-1. The last test compound, the seventh test compound, was a phosphorothioate oligonucleotide with cholic acid conjugated to the 3'-end but having no significant sequence homology with BPV-1. Compounds five, six and seven served as negative

20 controls for the assay.

For each test I-38 cells were plated at  $5 \times 10^4$  cells per  $\text{cm}^2$  in 60 mm petri dishes. Eight hours after plating, medium was aspirated and replaced with medium containing the test oligonucleotide and incubated overnight. Following

25 incubation, medium was aspirated and replaced with fresh medium without oligonucleotide and incubated for one hour. Cells were then transfected by the  $\text{CaPO}_4$  method with 2 ug of pE2RE-1-CAT. After a four hour incubation period cells were

glycerol shocked (15% glycerol) for 1 minute followed by

30 washing 2 times with PBS. Medium was replaced with DMEM containing oligonucleotide at the original concentration. Cells were incubated for 48 hours and harvested. Cell lysates were analyzed for chloramphenicol acetyl transferase by standard procedures. Acetylated and nonacetylated  $^{14}\text{C}$ -

35 chloramphenicol were separated by thin layer chromatography and quantitated by liquid scintillation. The results are expressed as percent acetylation.

Two lots of the positive control compound were found to acetylate at a level of 29% and 30%. The negative controls, test compounds five, six and seven, were found to acetylate at 59%, 58% and 47%, respectively. The 3'-cholic acid conjugate test compound, Oligomer 3, was found to acetylate to 23%, the 5'-cholic acid conjugate test compound, Oligomer 2, was found to acetylate to 36% and the test compound conjugated at both the 3'-end and the 5'-end, Oligomer 5, was found to acetylate to 27%.

The results of this test suggests that placement of a cholic acid moiety at the 3'-terminus of an oligonucleotide increase the activity. This in turn suggests that the increased activity was the result of increased cellular membrane transport.

#### PROCEDURE D

##### Determination of Cellular Uptake Judged By Inhibition of pHIVluc With Cholic Acid Linked 2'-O-Methyl Substituted Oligonucleotides

For this test the absence of an oligonucleotide in a test well served as the control. All oligonucleotides were tested as 2'-O-methyl analogs. For this test an oligonucleotide of the sequence:

Oligomer 43: CCC AGG CUC AGA

where each of the nucleotides of the oligonucleotide includes a 2'-O-methyl substituent group served as the basic test compound. The second test compound of the sequence:

Oligomer 44: 5'-CHA CCC AGG CUC AGA

wherein CHA represents cholic acid and where each of the nucleotides of the oligonucleotide includes a 2'-O-methyl substituent group, was also of the same sequence as the first test compound. This second test compound included cholic acid conjugated to its 5'-end and was prepared as per the method of Example 3 utilizing 2'-O-methyl phosphoramidite intermediates as identified in Example 7-C. The third test compound of the sequence:

Oligomer 45: CCC AGG CUC AGA 3'-CHA

wherein CHA represents cholic acid and where each of the nucleotides of the oligonucleotide includes a 2'-O-methyl substituent group was also of the same sequence as the first test compound. The third test compound included cholic acid  
5 conjugated to its 3'-end and was prepared as per the method of Example 4 utilizing 2'-O-methyl phosphoramidite intermediates as identified in Example 7-C. The fourth test compound was a 2'-O-Me oligonucleotide of a second sequence:

Oligomer 46: GAG CUC CCA GGC

10 where each of the nucleotides of the oligonucleotide includes a 2'-O-methyl substituent group. The fifth test compound was of sequence:

Oligomer 47: 5'-CHA GAG CUC CCA GGC.

wherein CHA represents cholic acid and where each of the  
15 nucleotides of the oligonucleotide includes a 2'-O-methyl substituent group. It was of the same sequence as the fifth test compound. This test compound included cholic acid conjugated to its 5'-end and was prepared as per the method of Example 3 utilizing 2'-O-methyl phosphoramidite  
20 intermediates as identified in Example 7-C.

A sixth test compound was a randomized oligonucleotide of the sequence:

Oligomer 48: CAU GCU GCA GCC.

HeLa cells were seeded at  $4 \times 10^5$  cells per well in 6-  
25 well culture dishes. Test oligonucleotides were added to triplicate wells at 1  $\mu$ M and allowed to incubate at 37°C for 20 hours. Medium and oligonucleotide were then removed, cells washed with PBS and the cells were  $\text{CaPO}_4$  transfected. Briefly, 5  $\mu$ g of pHIVluc, a plasmid expressing the luciferase  
30 cDNA under the transcriptional control of the HIV LTR constructed by ligating the KpnI/HindIII restriction fragments of the plasmids pT3/T7luc and pHIVpap (NAR 19(12)) containing the luciferase cDNA and the HIV LTR respectively, and 6  $\mu$ g of pcDEBtat, a plasmid expressing the HIV tat protein under the  
35 control of the SV40 promoter, were added to 500  $\mu$ l of 250 mM  $\text{CaCl}_2$ , then 500  $\mu$ l of 2x HBS was added followed by vortexing. After 30 minutes, the  $\text{CaPO}_4$  precipitate was divided evenly

between the six wells of the plate, which was then incubated for 4 hours. The media and precipitate were then removed, the cells washed with PBS, and fresh oligonucleotide and media were added. Incubation was continued overnight. Luciferase activity was determined for each well the following morning. Media was removed, then the cells washed 2X with PBS. The cells were then lysed on the plate with 200  $\mu$ l of LB (1% Triton X-100, 25 mM Glycylglycine pH 7.8, 15 mM  $MgSO_4$ , 4 mM EGTA, 1mM DTT). A 75  $\mu$ l aliquot from each well was then added to a well of a 96 well plate along with 75  $\mu$ l of assay buffer (25 mM Glycylglycine pH 7.8, 15 mM  $MgSO_4$ , 4 mM EGTA, 15 mM  $KPO_4$ , 1 mM DTT, 2.5 mM ATP). The plate was then read in a Dynatec multiwell luminometer that injected 75  $\mu$ l of Luciferin buffer (25 mM Glycylglycine pH 7.8, 15 mM  $MgSO_4$ , 4 mM EGTA, 4 mM DTT, 1 mM luciferin) into each well, immediately reading the light emitted (light units).

The random sequence compound (Oligomer 48) and the other non-cholic acid-conjugated test compounds (Oligomers 43 and 46) had comparable activity. The 5'-conjugate of the first sequence (Oligomer 44) also had activity comparable to the non-conjugated compounds. The 5'-conjugate of the second sequence (Oligomer 47) showed a three-fold increase in activity compared to the non-conjugated compounds and the 3'-conjugate of the first sequence (Oligomer 45) showed a further 3-fold increase in activity compared to Oligomer 47.

All the test cholic acid-bearing oligonucleotides showed significant inhibition of luciferase production compared to non-cholic acid-bearing oligonucleotides. This suggests that the increased activity was the result of increased cellular membrane transport of the cholic acid-bearing test oligonucleotides.

### EXAMPLE 13

#### Retinoic Acid Conjugated Oligonucleotide

##### A. Retinoic Acid N-Hydroxysuccinimide Ester

Anhydrous DMF (150 ml) was added to a mixture of retinoic acid (15 mmol, 4.5 g, Fluka) and N-hydroxysuccinimide

(5.25 g, 45 mmol). The mixture was stirred in the presence of argon. EDAC [ethyl-3-(3-dimethylamino)propyl carbodiimide] (4 ml, 25 mmol) was then added and this mixture was then stirred overnight. The solution was then evaporated to a yellow gum and dissolved in 200 ml ethylacetate and washed successively with 4% NaHCO<sub>3</sub> solution (200 ml) followed by saturated NaCl solution, dried over anhydrous MgSO<sub>4</sub> and evaporated to yield the desired compound as a yellow solid in nearly 90% yield.

#### 10 B. Retinol Phosphoramidite

All-trans-retinol (1 g) was vacuum dried and dissolved in anhydrous CH<sub>2</sub>Cl<sub>2</sub> (10 ml) in an argon atmosphere. Diisopropylethylamine (2.65 ml, 21.5 mmol) was syringed in and the reaction mixture was cooled in an ice-bath. 2-cyanoethyl-  
 15 N, N-diisopropylchlorophosphoramidate (2.5 g, 2.45 ml, 10.5 mmol) was slowly added by syringe under argon atmosphere. The reaction mixture was stirred for 30 min. at which time TLC (CH<sub>2</sub>Cl<sub>2</sub>:CH<sub>3</sub>OH:Et<sub>3</sub>N, 90:10:0.1) indicated complete conversion of the alcohol to its phosphoramidite. The reaction mixture was  
 20 added to 100 ml of saturated NaHCO<sub>3</sub> followed by washing the reaction flask with (2 x 25 ml) CH<sub>2</sub>Cl<sub>2</sub>. The CH<sub>2</sub>Cl<sub>2</sub> layer was separated and washed with 100 ml of saturated NaCl solution and dried over anhydrous MgSO<sub>4</sub> and evaporated into a yellow foam. The amidite was used directly in the DNA synthesizer  
 25 without further purification since decomposition of this amidite was noted upon silica column purification.

#### C. Retinoic Acid Functionalized Oligonucleotide

Multiple batches of an oligonucleotide of the sequence:

Oligomer 49:

30 T<sub>s</sub>G<sub>s</sub>G<sub>s</sub> G<sub>s</sub>A<sub>s</sub>G<sub>s</sub> C<sub>s</sub>C<sub>s</sub>G<sub>s</sub> T<sub>s</sub>A<sub>s</sub>G<sub>s</sub> C<sub>s</sub>G<sub>s</sub>A<sub>s</sub> G<sub>s</sub>G<sub>s</sub>C<sub>s</sub>-3'AL

wherein AL represents a 3'-aminolinker and "s" represents a phosphorothioate inter-nucleotide backbone linkage were synthesized as per the procedure of Example 4 on 10 μmol scales in the standard manner on the DNA synthesizer utilizing  
 35 phosphoramidite methodology employing 3'-amine-ON solid support available from Clontech. During the synthesis, the phosphorothioate backbone was formed by the Beaucage reagent.

The oligonucleotide was deprotected and purified using standard protocols.

The 3'-aminolinker-oligonucleotide (Oligomer 49, 100 OD units, approximately 550 nmols) was dissolved in freshly prepared NaHCO<sub>3</sub> buffer (500  $\mu$ l, 0.2M, pH 8.1) and treated with a solution of retinoic acid N-hydroxy succinimide ester (50 mg, 125  $\mu$ mols) dissolved in 500  $\mu$ l of DMF. The reaction mixture was covered with aluminum foil and left at 37°C bath overnight. It was then passed through a Sephadex G-25 column (1 x 40 cm) and the first eluant was collected, concentrated and passed again through another Sephadex G-25 column (1 x 40 cm) to remove excess Vitamin-A reagent. Concentration of the yellow oligonucleotide fractions followed by HPLC purification yielded the retinoic acid-oligonucleotide conjugate.

#### 15 EXAMPLE 14

##### Folic Acid Conjugated Oligonucleotide

A mixture of folic acid (30 mg, 68  $\mu$ mols) and 1-hydroxybenzotriazole (30 mg, 222  $\mu$ mols) was dissolved in 900 ml of dry DMF. To this solution, 50 ml of EDAC (312  $\mu$ mols) was added. The resultant yellow viscous material was vortexed well and 500 ml from the solution was transferred into 100 O.D. units of the 3'-aminolinker oligonucleotide (Oligomer 49, 537  $\mu$ mols) dissolved in 0.2M NaHCO<sub>3</sub> buffer. The yellow solution was vortexed, covered with aluminum foil and allowed to react for 16 hrs. The mixture was then loaded into a Sephadex G-25 column (1 x 40 cm). The oligonucleotide fraction was collected, concentrated and passed one more time through Sephadex G-25 column. The oligonucleotide fractions were concentrated and purified by reverse phase HPLC. The conjugate appeared as a broad peak centered around 32.5 min. while the oligonucleotide starting material had a retention time of 30 min. (5%  $\rightarrow$  40% of 100% CH<sub>3</sub>CN over 60 min. as solvent B and 50 mM TEAA pH 7.0 as the solvent A in reverse phase HPLC). The conjugate was concentrated and passed through Sephadex G-25 column again for desalting. Gel

analysis indicated a slower moving material than the starting oligonucleotide.

#### EXAMPLE 15

##### Methyl Folate Conjugated Oligonucleotide

- 5        In a like manner to Example 14, 5-methyl folate was also attached to Oligomer 49.

#### EXAMPLE 16

##### Pyridoxal Conjugated Oligonucleotide

- 10        The 3'-aminolinker-oligonucleotide (Oligomer 49, 20 O.D. units, approximately 110 nmols, based on the calculated extinction coefficient of  $1.828 \times 10^5$  at 260 nm) was dissolved in 100 microliters of water. 100 ml of 1M NaOAc buffer (pH 5.0) was added followed by 5 mg of pyridoxal hydrochloride (24  $\mu$ moles) and 50  $\mu$ l of 60 mM NaCNBH<sub>3</sub> solution. The solution was  
15        vortexed and left aside for overnight. It was then passed through a Sephadex G-25 column and further purified in an analytical HPLC column.

#### EXAMPLE 17

##### Tocopherol Conjugated Oligonucleotide

- 20        A. Vitamin E (Tocopherol)-hemisuccinate-NHS ester  
          $\alpha$ -Tocopherolhemisuccinate (Sigma, 5 g, 9.4 mmols) was treated with 3 equivalents of N-hydroxysuccinimide and 2 equivalents of EDAC as described under the vitamin-A NHS ester synthesis, Example 13-A above. Work up in the same manner as  
25        Example 13 yielded the title compound as a light brown wax-like solid.

##### B. Tocopherol Conjugated Oligonucleotide

- $\alpha$ -Tocopherol-hemisuccinate-NHS ester was treated with Oligomer 49 in the same manner described in Example 13-C for  
30        the retinoic acid conjugation. The conjugate was obtained in nearly 50% yield.



**EXAMPLE 18****Synthesis of Uridine Based Aminolinkers****A. Preparation of 5'-dimethoxytrityl-2'-(O-pentyl-N-phthalimido)uridine phosphoramidite**

5 Utilizing the protocol of Wagner, et al., *J. Org. Chem.* 1974, 39, 24, uridine (45 g, 0.184 mol) was refluxed with di-n-butyltin oxide (45 g, 0.181 mol) in 1.4 l of anhydrous methanol for 4 hrs. The solvent was filtered and the resultant 2',3'-O-dibutylstannylene-uridine was dried under  
10 vacuum at 100°C for 4 hrs to yield 81 g (93%).

The 2',3'-O-dibutyl stannylene-uridine was dried over P<sub>2</sub>O<sub>5</sub> under vacuum for 12 hrs. To a solution of this compound (20 g, 42.1 mmols) in 500 ml of anhydrous DMF were added 25 g (84.2 nmols) of N(5-bromopentyl)phthalimide (Trans World  
15 Chemicals, Rockville, Maryland) and 12.75 g (85 mmols) of cesium fluoride (CeF) and the mixture was stirred at room temperature for 72 hrs. The reaction mixture evaporated, coevaporated once with toluene and the white residue was partitioned between EtOAc and water (400 ml each). The EtOAc  
20 layer was concentrated and applied to a silica column (700 g). Elution with CH<sub>2</sub>Cl<sub>2</sub>-CH<sub>3</sub>OH (20:1 v/v) gave fractions containing a mixture of the 2'- and 3'- isomers of O-pentyl-ω-N-phthalimido uridine, in 50% yield.

The mixture was allowed to react with DMT chloride in  
25 dry pyridine at room temperature for 6 hrs. CH<sub>3</sub>OH was used to quench excess DMT-Cl and the residue was partitioned between CH<sub>2</sub>Cl<sub>2</sub> containing 0.5% Et<sub>3</sub>N and water. The organic layer was dried (MgSO<sub>4</sub>) and the residue was applied to a silica column. Elution with CH<sub>2</sub>Cl<sub>2</sub>:CH<sub>3</sub>OH (20:1, v/v) separated the 2' and 3'  
30 isomers.

The 2'-O-pentyl-ω-N-phthalimido-5'-DMT-uridine was converted to its phosphoramidite as per the procedure referenced in Example 7.

**B. Preparation of 5'-dimethoxytrityl-2-(O-hexyl-N-phthalimido)uridine phosphoramidite**

In a like manner to Example 18-A, using N-(6-bromohexyl) phthalimide, a 2'- six carbon aminolinker was introduced at the 2'-position of uridine.

**C. Preparation of 5'-dimethoxytrityl-2-(O-decyl-N-phthalimido)uridine phosphoramidite**

In a like manner to Example 18-A N-(10-bromodecyl)phthalimide was similarly used to introduce a 2'- ten carbon aminolinker in the nucleotide.

**EXAMPLE 19**

**Synthesis of Cytidine Based Aminolinkers**

**A. Preparation of 5'-dimethoxytrityl-2-(O-propyl-N-phthalimido)cytidine phosphoramidite**

The 5'-DMT protected 2'-O-functionalized cytidine phosphoramidite was prepared as per the procedure of Example 7 substituting cytidine for adenosine.

**B. Preparation of oligonucleotides having a 2'-aminolinker bearing 3'-terminal nucleotide**

The following oligonucleotides having phosphodiester inter-nucleotide linkages and a 2'-aminolinker at the 3' terminal nucleotide were synthesized:

Oligomer 50: GGC GUC UCC AGG GGA UCU GAC\*

Oligomer 51: TCT GAG TAG CAG AGG AGC TC\*

wherein C\* represents a nucleotide functionalized to incorporate a propyl-N-phthalimido functionality. Oligomer 50 is antisense to the Cap region of CMV and Oligomer 51 is antisense to an ICAM sequence. The oligonucleotides were synthesized on a 3  $\mu$ mol scale. Upon completion of synthesis they were deprotected using standard protocols and purified by reverse phase HPLC, detritylated and desalted.

**EXAMPLE 20**

**Conversion Of An Oligonucleotide Having A 2'-Aminolinker To An Oligonucleotide Having A Thiolinker**

**A. Oligomer 50**

5 Oligomer 50 (25 O.D. units) was treated with 5 mg SATA (N-succinimidyl-S-acetylthioacetate) in 0.2M NaHCO<sub>3</sub> buffer. The reaction mixture was passed through a Sephadex G-25 column, the oligonucleotide fraction was concentrated and treated with 200 mM NH<sub>2</sub>OH hydrochloride solution in water (1  
10 ml).

**B. Oligomer 51**

Oligomer 51 (25 O.D. units) was treated with 5 mg SATA (N-succinimidyl-S-acetylthioacetate) in 0.2M NaHCO<sub>3</sub> buffer. The reaction mixture was passed through a Sephadex G-25  
15 column, the oligonucleotide fraction was concentrated and treated with 200 mM hydroxylamine hydrochloride solution in water (1 ml).

**EXAMPLE 21**

**Conjugation of o-Phenanthroline at 2'-Position Of Oligonucleotides**

**A. Oligomer 52**

To the solution resulting from Example 20-A was added 2 mg of 5-(iodoacetamide)-o-phenanthroline reagent followed by shaking overnight. The conjugate was purified by a size  
25 exclusion column and reverse phase HPLC to yield

Oligomer 52: GGC GUC UCC AGG GGA UCU GAC-2'PHA wherein PHA represents a nucleotide functionalized at its 2'-position with phenanthroline via a thiol linker of the structure 2'-O-(CH<sub>2</sub>)<sub>3</sub>-NH-C(=O)-CH<sub>2</sub>-S-CH<sub>2</sub>-C(=O)-NH-.

**B. Oligomer 53**

30 To the solution resulting from Example 20-A was added 2 mg of 5-(iodoacetamide)-O-phenanthroline reagent followed by shaking overnight. The conjugate was purified by a size exclusion column and reverse phase HPLC to yield

35 Oligomer 53: TCT GAG TAG CAG AGG AGC TC-2'PHA

wherein PHA represents a nucleotide functionalized at its 2'-position with phenanthroline via a thiol linker of the structure  $2'-O-(CH_2)_3-NH-C(=O)-CH_2-S-CH_2-C(=O)-NH-$ .

**EXAMPLE 22**

5 Oligonucleotide Having A Nucleotide With A Crosslinker/Alkylator Attached Via A 2'-Aminolinker In A Internal Position In The Oligonucleotide

A. Synthesis of an oligonucleotide having a uridine 2'-aminolinker

10 The following oligonucleotide having phosphodiester inter-nucleotide linkages and a 2'-aminolinker at an internal position is synthesized utilizing the uridine 2'-aminolinker of Example 18:

Oligomer 54: GGC CAG AUC UGA GCC UGG GAG CU\* C UGU GGC

15 C

wherein U\* represents a nucleotide functionalized to incorporate a propyl-N-phthalimido functionality. Oligomer 50 is an oligonucleotide corresponding to positions G<sub>16</sub> to C<sub>46</sub> of TAR RNA.

20 B. Conjugation of iodo acetamide to U<sub>38</sub> position of TAR structure

Oligomer 54 is reacted with iodoacetic acid N-hydroxy-succinimide ester to form the iodoacetamide derivative at the U<sub>38</sub> position of the TAR structure. The U<sub>38</sub> position is thus 25 available for crosslinking to the 7 position of the guanine base of either G<sub>26</sub> or G<sub>28</sub> of the TAR structure.

**EXAMPLE 23**

Conjugation of Pyrene at 2'-Position Of Oligonucleotides

A. Single 2' site modification

30 10 O.D. units (A<sub>260</sub>) of Oligomer 12 (Example 7-B) (approximately 60 nmols based on the calculated extinction coefficient of  $1.68 \times 10^5$ ) was dried in a microfuge tube. It was dissolved in 200  $\mu$ ml of 0.2 M NaHCO<sub>3</sub> buffer and pyrene-1-butyric acid N-hydroxysuccinimide ester (i.e., succinimidyl-1-

pyrene butyrate, 3 mg, 7.79  $\mu$ moles, Molecular Probes, Eugene, Oregon) was added followed by 400  $\mu$ l of DMF. The mixture was incubated at 37°C overnight. The solution was applied to a Sephadex G-25 column (1 x 40 cm) and the oligonucleotide fractions were combined. The product was purified by HPLC. The pyrene conjugates exhibited the typical pyrene absorption between 300 and 400 nm. The product had a HPLC retention time of 26.94 min. while the parent oligonucleotide had a retention time of 21.78 min. (Waters 600E with 991 detector; Hamilton PRP-1 column (15x25 cm); Solvent A: 50 mM TEAA, pH 7.0; B: 45 mM TEAA with 80% Acetonitrile; 1.5 mL/min. flow rate: Gradient 5% B for first 5 minutes, linear (1%) increase in B every minute afterwards).

#### B. Multiple 2' site modifications

10 O.D. units of Oligomer 12 (Example 7-B) was treated with twice the amount of pyrene-1-butyric acid N-hydroxysuccinimide (6 mg in 400  $\mu$ l DMF) and worked up in the same fashion as Example 23-A. Sephadex G-25 purification followed by HPLC purification gave the doubly pyrene-conjugated oligonucleotide. The doubly conjugated oligonucleotide exhibited a HPLC retention time of 32.32 min. while the parent oligonucleotide had a retention time of 21.78 min. (Waters 600E with 991 detector; Hamilton PRP-1 column (15x25 cm); Solvent A: 50 mM TEAA, pH 7.0; B: 45 mM TEAA with 80% Acetonitrile; 1.5 mL/min. flow rate: Gradient 5% B for first 5 minutes, linear (1%) increase in B every minute afterwards).

### EXAMPLE 24

#### Conjugation of Acridine at 2'-Position Of Oligonucleotides

##### A. Single 2' site modification

10 O.D. units ( $A_{260}$ ) of Oligomer 12 (Example 7-B, about 60 nmols) was dried and dissolved in 1M  $\text{NaHCO}_3/\text{Na}_2\text{CO}_3$  buffer, pH 9.0, 200  $\mu$ l. 9-acridinyl-isothiocyanate, (5 mg, 2.1  $\mu$ moles, Molecular Probes, Eugene, Oregon) was dissolved in 200  $\mu$ l DMF. This solution was added to the oligonucleotide, vortexed, covered with aluminum foil and left at 37°C overnight. The

reaction mixture was purified by passing through a Sephadex G-25 column (1 x 40 cm) concentrated and further purified by HPLC (reverse-phase). The product had a HPLC retention time of 25.32 min. while the parent oligonucleotide had a retention time of 21.78 min. (Waters 600E with 991 detector; Hamilton PRP-1 column (15x25 cm); Solvent A: 50 mM TEAA, pH 7.0; B: 45 mM TEAA with 80% Acetonitrile; 1.5 mL/min. flow rate: Gradient 5% B for first 5 minutes, linear (1%) increase in B every minute afterwards).

10      **B. Multiple 2' site modifications**

10 O.D. units ( $A_{260}$ ) of Oligomer 13 (Example 7-B) in 400  $\mu$ l of 1M  $\text{Na}_2\text{CO}_3/\text{NaHCO}_3$  buffer (pH 9.0) was treated with 10 mg of 9-acridinyl-isothiocyanate in 400  $\mu$ l of DMF. The reaction mixture was vortexed, covered with aluminum foil and left at 37°C overnight. The reaction mixture was purified as for the single site reaction of Example 24-A. The doubly conjugated acridine-oligonucleotide eluted as the last peak in the HPLC following single-modification products. The product had a HPLC retention time of 32.32 min. while the parent oligonucleotide had a retention time of 21.78 min. (Waters 600E with 991 detector; Hamilton PRP-1 column (15x25 cm); Solvent A: 50 mM TEAA, pH 7.0; B: 45 mM TEAA with 80% Acetonitrile; 1.5 mL/min. flow rate: Gradient 5% B for first 5 minutes, linear (1%) increase in B every minute afterwards).

25      **EXAMPLE 25**

**Conjugation Of Porphyrin at 2'-Position Of Oligonucleotides**

Methylpyroporphyrin XX1 ethyl ester (Aldrich) is condensed with aminocaproic acid using N-hydroxysuccinimide and EDAC. The resultant carboxylic acid is then activated again with N-hydroxy succinimide and EDAC and treated with Oligomer 12 as per the procedure of Example 23-A to give the 2'-porphyrin conjugated oligonucleotide.

**EXAMPLE 26****Conjugation of Hybrid Intercalator-Ligand at 2'-Position Of Oligonucleotides****A. Photonuclease/intercalator ligand**

5       The photonuclease/intercalator ligand 6-[[[9-[[6-(4-nitrobenzamido)hexyl]amino]acridin-4-yl]carbonyl]amino]hexanoyl-pentafluorophenyl ester was synthesized as per the procedure of Egholm et al., *J. Am. Chem. Soc.* 1992, 114, 1895.

10       **B. Single 2' site modification**

10 O.D. units of Oligomer 12 (Example 7-B) was dissolved in 100  $\mu$ l of 0.1 M borate buffer (pH 8.4) and treated with 330  $\mu$ l of DMF solution (10 mg in 1 ml of DMF) of 6-[[[9-[[6-(4-nitrobenzamido)hexyl]amino]acridin-4-yl]carbonyl]amino]hexanoylpentafluorophenyl ester. The solution was covered with aluminum foil and allowed to react overnight. The product was purified by Sephadex G-25 and HPLC purification of the reaction mixture.

**C. Multiple 2' site modification**

20       10 O.D. units  $A_{260}$  of Oligomer 13 (Example 7-B) was dissolved in 200  $\mu$ l of 0.1 M borate buffer (pH 8.4) and treated with 660  $\mu$ l of the DMF solution of 6-[[[9-[[6-(4-nitrobenzamido)hexyl]amino]acridin-4-yl]carbonyl]amino]hexanoylpentafluorophenyl ester (10 mg in 25 1 ml solution) and the solution was covered with aluminum foil and left aside overnight. The bright yellow solution was purified by Sephadex G-25 and reverse phase HPLC to give the doubly conjugated oligonucleotide.

**EXAMPLE 27**

30       **Conjugation of Bipyridine Complex at 2'-Position Of Oligonucleotides**

**A. Bipyridine complex**

Succinimidyl-4-carboxyl-4'-methyl-2,2'-bipyridine is synthesized according to the procedure of Telser, et al., *J. Am. Chem. Soc.* 1989, 111, 7221.

35

**B. Single 2' site Modification**

10 O.D.  $A_{260}$  units of Oligomer 12 is reacted with a 200 fold molar excess of succinimidyl-4-carboxyl-4'-methyl-2,2'-bipyridine in 0.1 M borate buffer pH 8.5/DMF. The solution  
5 is purified by Sephadex G-25 and reverse phase HPLC to yield the conjugated oligonucleotide.

**EXAMPLE 28****Conjugation of Aryl Azide Photocrosslinkers at 2'-Position Of Oligonucleotides**

10           **A. Conjugation of N-hydroxysuccinimidyl-4-azidobenzoate (HSAB)**

Oligomer 14 (i.e., TTG CTT CCA\* TCT TCC TCG TC wherein A\* represents 2'-O-pentyl amino adenosine, Example 7-C, 100 O.D. units, 595 nmols, based on the calculated extinction  
15 coefficient of  $1.6792 \times 10^6$  OD units) was dried and dissolved in 500 ml of 0.2M  $\text{NaHCO}_3$  buffer pH 8.1 and treated with 25 mg of N-hydroxysuccinimidyl-4-azidobenzoate (HSAB, 96  $\mu\text{mols}$ , available both from Pierce & Sigma) dissolved in 500  $\mu\text{l}$  of DMF. The reaction was allowed to react overnight at 37°C and  
20 passed twice over Sephadex G-25 column (1 x 40 cm). The oligonucleotide fraction was purified by reverse-phase HPLC. The product had the HPLC retention time of 38.79 min while the parent oligonucleotide had the retention time of 33.69 min. (5%  $\rightarrow$  40%  $\text{CH}_3\text{CN}$  in 60 min.) in reverse phase column.

25           **B. Conjugation of N-succinimidyl-6(4'-azido-2'-nitrophenyl-amino)hexanoate**

Oligomer 14 (Example 7-C, 200 OD units) was dissolved in 500 ml  $\text{NaHCO}_3$  buffer (0.2M, pH 8.1) and treated with 500 mg of N-succinimidyl-6(4'-azido-2'-nitrophenyl-amino)hexanoate  
30 (SANPAH, 128  $\mu\text{mols}$ , available both from Pierce and Sigma) dissolved in 500  $\mu\text{l}$  DMF. The reaction vial was wrapped with aluminum foil and heated at 37°C overnight. The reaction mixture was passed twice over a Sephadex G-25 column (1 x 40 cm). The oligonucleotide fraction was purified by reverse-  
35 phase HPLC. The product had the HPLC retention time of 40.69 min. while the parent oligonucleotide had the retention time



of 33.69 min. (5% → 40% CH<sub>3</sub>CN in 60 min.) in a reverse phase column.

#### PROCEDURE D

##### Duplex Melting Temperature of Conjugated Oligonucleotides

5 Utilizing the protocol described in Procedure B-1-b, the melting temperatures of various of the 2-aminolinked conjugate oligomers of the invention against a complementary DNA strand were obtained. The conjugate oligomers were compared to an oligomer of the same sequence bearing a 2'-O-  
10 pentylamino group. An un-modified, i.e., wild type, strand of the same sequence was also tested for comparison purposes. Both single site and multiple site conjugated oligomers were tested. As is shown in Table 5, the  $T_m$  and the  $\Delta T_m$ /modification, both as compared to 2'-pentylamino bearing  
15 oligomer, were measured. The wild type sequence is:

Oligomer 55:

CTG TCT CCA TCC TCT TCA CT

the single site sequence is:

Oligomer 12:

20 CTG TCT CCA\* TCC TCT TCA CT

and the multiple site sequence is:

Oligomer 13:

CTG TCT CCA\* TCC TCT TCA\* CT

where A\* represents a site of conjugation.

TABLE 5

**Duplex Melting Temperature of  
Conjugated Oligonucleotides Against DNA**

	Oligomer	Conjugate	T <sub>m</sub> °C	ΔT <sub>m</sub> /mod
5	55	wild type	60.5	--
	12	2'-O-pentyl-NH <sub>2</sub>	58.1	--
	12	biotin	56.4	-1.7
	12	cholic acid	55.5	-2.6
	12	digoxigenin	55.8	-2.3
10	12	fluorescein	55.1	-3.0
	12	pyrene	62.6	+4.5
	12	acridine	58.6	+0.5
	13	2'-O-pentyl-NH <sub>2</sub>	56.9	--
	13	biotin	54.4	-1.3
15	13	cholic acid	54.3	-1.3
	13	digoxigenin	53.8	-1.6
	13	fluorescein	53.4	-1.8
	13	pyrene	65.1	+4.1
20	13	acridine	58.1	+1.2

**EXAMPLE 29**

**Conjugation of Imidazole-4-acetic acid at 2'-Position of Oligonucleotides**

**A. Activated imidazole-4-acetic acid**

25 Imidazole-4-acetic acid was reacted with 2,4-dinitrofluoro benzene. The resulting imidazole-N-(DNP)-4-acetic acid was converted to its N-hydroxy succinimide ester by treating with NHS/EDAC as per the procedure of Example 13.

**B. 2'-Site Modification**

30 10 O.D. A<sub>260</sub> units of oligomer 12 was reacted with a 100 fold molar excess of imidazole-N-(DNP)-4-acetic acid NHS ester in 0.1M borate buffer pH 8.5/DMF (200 μL each). After overnight reaction, the reaction mixture was treated with 200 μL of mercaptoethanol to cleave off the DNP protecting group.

35 The resulting reaction mixture was purified by passing through a Sephadex G-25 column followed by reverse phase HPLC to yield the imidazole conjugated oligonucleotide.

**EXAMPLE 30****Conjugation of Metal Chelating Agents to 2'-Position of Oligonucleotide****A. EDTA Complex**

- 5 To form an EDTA Fe(II) complex for coupling to an oligonucleotide as a nucleic acid cleaving agent, tricyclohexyl ester of EDTA is synthesized according to the procedure of Sluka, et al., *J. Am. Chem. Soc.* 1990, 112, 6369.

**B. EDTA 2'-Site Modification**

- 10 The tricyclohexyl ester of EDTA (1.25 mg, 1.94 mmol) and hydroxybenzotriazole (HOBt, 1 mg, 6.6 mmol) are dissolved in DMF (50  $\mu$ L) and EDAC 10  $\mu$ L is added. To this solution, oligonucleotide 12 (10 OD units) in 100  $\mu$ L of 0.1M borate buffer is added and left overnight. The solution is passed  
15 through a Sephadex G-25 column and the oligonucleotide fraction treated with conc.  $\text{NH}_3$  (100  $\mu$ L) for 1 hr. to cleave off the acid protecting groups. Finally purification is effected by size exclusion and HPLC.

**C. DTPA 2'-Site Modification**

- 20 Oligomer 12 was treated with diethylene triamine pentaacetic anhydride (DTPA) in 0.1M  $\text{NaHCO}_3$ /DMF to offer single-site modification. The conjugate was complexed with Gadolinium ion ( $\text{Gd III}$ ) to give a contrast agent, usable among other uses, as an uptake measuring agent.

**25 EXAMPLE 31****Conjugation of Cholesterol To The 2'-Position of Oligonucleotide****A. Method 1 - 2'-Aminolinker**

- 30 Cholesterol-hemisuccinate was converted to its N-hydroxy succinimide ester. It was then conjugated to Oligomer 12 as per the procedure of Example 23-A or Oligomer 13 as per the procedure of Example 23-B.

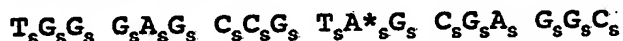
## B. Method 2 - Conjugation of Cholesterol via a Disulfide Bridge

### Step 1

Thiocholesterol (1.4 g, 3.5 mmol) is added to a stirred  
 5 solution of 2,2'-dithiobis(5-nitropyridine) (1.4 g 4 mmol) in  
 chloroform 20 mL containing glacial acetic acid (400  $\mu$ L) under  
 an argon atmosphere. The reaction is allowed to continue  
 overnight at room temperature, after which the precipitated  
 5-nitropyridine-2-thione was removed and the solution  
 10 evaporated and purified in a silica column to give S-(2-thio-  
 5-nitropyridyl)-thio cholesterol.

### Step 2

Oligomer 55:



15 wherein A\* represents an adenosine nucleotide functionalized to  
 incorporate a 2'-O-pentylamino linking group is synthesized  
 as per Example 7. This oligonucleotide is then converted into  
 a thiol linker compound as per the procedure described for  
 Oligomer 50 in Example 20.

20 The thiol linker group containing oligonucleotide,  
 Oligomer 55, is reacted with an excess of S-(2-thio-5-  
 nitropyridyl)-thiocholesterol to conjugate the cholesterol  
 moiety to the 2' position of the oligonucleotide via a  
 disulfide bridge.

## 25 EXAMPLE 32

Synthesis of 2'-Aminolinker Containing Solid Supports for  
 DNA/RNA Synthesis

A. 5'-Dimethoxytrityl-2'-O-(pentyl-N-phthalimido)uridine

30 5'-Dimethoxytrityl-2'-O-(pentyl-N-phthalimido)uridine  
 was synthesized as per the procedure described in Example 18.

### B. Succinate Nucleoside

The nucleoside of step A (1 mmol) was treated with 4-  
 DMAP (122 mg, 1 mmol) and succinic anhydride (250 mg, 2.5  
 35 mmol) in 10 mL anhydrous pyridine. After shaking overnight,  
 TLC (EtOAc: Hexane 6:4 with 0.1% Et<sub>3</sub>N) indicated complete

succinylation of the nucleoside. 10 mL of water was added and the reaction shaken for an additional 1 hr. The reaction mixture was evaporated and partitioned between  $\text{CHCl}_3$  and 20% citric acid (50 mL each). The chloroform layer was washed with brine and evaporated. It was then used in the next step.

#### C. Nitrophenyl Succinate

The dry 3'-O-succinate from step B was dissolved in dry dioxan (10 mL) containing pyridine (400 mL). 4-Nitrophenol (280 mg, 2 mmol) was added followed by DCC (1.32 g, 5 mmol) and the solution was shaken for 24 hrs. The fine precipitate of urea was filtered and the filtrate evaporated and applied to a silica column. The column was eluted with 5%  $\text{CH}_3\text{OH}$  in  $\text{CHCl}_3$  containing 0.1%  $\text{Et}_3\text{N}$ . The 3'-nitrophenyl succinate of the nucleoside eluted first from the column. The product containing fractions were evaporated to give a foam.

#### D. Nucleoside Solid Support

The 3'-nitrophenyl succinate from step C was dissolved in 5 mL of anhydrous DMF and treated with 5g of 500 Å pore diameter aminopropyl CPG support and shaken for 8 hrs. The CPG support was filtered, washed with methanol (5 x 20 mL) followed by ether (5 x 20) and dried. The CPG support was capped by treating for 30 min. with pyridine/acetic anhydride/N-methyl imidazole (20 mL, 8:1:1 v/v/v). The CPG support was then filtered off, washed with pyridine, methanol and ether and air-dried. Assay of the dimethoxy trityl showed the loading capacity of the CPG support was 27 mmols/gram.

#### PROCEDURE E

##### Determination of Cellular Uptake of Folic Acid Conjugated Oligonucleotide

The effect of conjugation of an oligonucleotide with folic acid was determined by the inhibition of ICAM-1 utilizing the method of Chiang, et al., *J. Biol. Chem.* 1991, 266, 18162. Utilizing this method, human lung epithelial carcinoma cells (A549 cells) were grown to confluence in 96 well plates. Medium was removed and the cells were washed with folic acid free medium three times. Folic acid free

medium was added to the cells and increasing concentrations of an ICAM-1 specific antisense phosphorothioate oligonucleotide having the sequence 5'-TGG GAG CCA TAG CGA GGC-3', either free or conjugated to folic acid, was added to the incubation medium. This oligonucleotide is an 18 base phosphorothioate oligonucleotide that targets the AUG translation initiation codon of the human ICAM-1 mRNA (Chiang et al., *J. Biol. Chem.* 1991, 266, 18162). The oligonucleotides were incubated with the A549 cells for 24 hours then ICAM-1 was induced by adding 2.5 ng/ml tumor necrosis factor- $\alpha$  to the medium. Cells were incubated an additional 15 hours in the presence of tumor necrosis factor- $\alpha$  and oligonucleotide. ICAM-1 expression was determined by a specific ELISA as described by Chiang, et al.. We had previously demonstrated that the addition of the test oligonucleotide to incubation medium alone does not result in inhibition of ICAM-1 expression. However formulation of the test oligonucleotide with cationic liposomes results in at least a 1000 fold increase in potency and also correlates with the appearance of the oligonucleotide in the nucleus (Bennett, et al., *Molecular Pharmacology* 1991, 41, 1023). The results of this test are shown in Table 6. At the 30uM level, the folic acid conjugated oligonucleotide shows an approximate 40% enhancement in activity.

25

TABLE 6

ICAM-1 Activity		
Concentration	Oligonucleotide Control	Oligonucleotide + Folic Acid - Percent Of Control
1 uM	105.1	107.6
3 uM	112.0	104.4
10 uM	112.4	92.9
30 uM	108.4	61.6

30

Those skilled in the art will appreciate that numerous changes and modifications may be made to the preferred embodiments of the invention and that such changes and modifications may be made without departing from the spirit  
5 of the invention. It is therefore intended that the appended claims cover all such equivalent variations as fall within the true spirit and scope of the invention.

**WE CLAIM:**

1. A compound comprising a plurality of linked nucleosides, wherein at least one of said nucleosides is functionalized at its 2'-position by attachment of a steroid molecule, a reporter molecule, a non-aromatic lipophilic molecule, a reporter enzyme, a peptide, a protein, a water soluble vitamin, a lipid soluble vitamin, an RNA cleaving complex, a metal chelator, a porphyrin, an alkylator, a hybrid photonuclease/intercalator, or an aryl azide photo-crosslinking agent.

2. The compound of claim 1 wherein a linking moiety attaches said steroid molecule, reporter molecule, non-aromatic lipophilic molecule, reporter enzyme, peptide or protein to the 2'-position of said functionalized nucleoside.

3. The compound of claim 1 wherein said functionalized nucleoside comprises a steroid molecule, biotin, a fluorescein dye molecule, an alicyclic hydrocarbon molecule, a saturated or unsaturated fatty acid, a wax, a terpene, a polyalicyclic hydrocarbon, adamantane, a buckminsterfullerene, a reporter enzyme, a peptide, a protein, thiamine, riboflavin, nicotinic acid, niacin, pyridoxal, pantothenic acid, biotin, folic acid, methyl folate, a vitamin B<sub>12</sub> cobamide coenzyme, inositol, choline, ascorbic acid, retinoic acid, retinol, a tocopherol, vitamin D, vitamin K, EDTA, DTPA, o-phenanthroline, a photonuclease/intercalator, an aryl azide photo-crosslinker, a phenanthroline/metal complex and a bipyridine/metal complex attached to the 2'-position of said nucleoside.

4. The compound of claim 1 wherein said functionalized nucleoside comprises a steroid molecule attached via a linking moiety to the 2'-position of said nucleoside.



5. The compound of claim 2 wherein said linking moiety comprises an  $\Omega$ -aminoalkoxy or  $\Omega$ -aminoalkylamino moiety.

6. The compound of claim 5 wherein said linking moiety comprises an  $\Omega$ -aminoalkoxy moiety.

7. The compound of claim 1 wherein said functionalized nucleoside comprises a reporter enzyme, a peptide or protein attached via a linking moiety to the 2'-position of said nucleoside.

8. The compound of claim 1 wherein cholic acid, deoxycholic acid, dehydrocholic acid, cortisone, digoxigenin, testosterone, cholesterol or digoxigenin is attached at the 2' position of said nucleoside.

9. The compound of claim 8 wherein attachment is through a linking moiety comprises an  $\Omega$ -aminoalkoxy or  $\Omega$ -aminoalkylamino moiety.

10. The compound of claim 9 wherein said linking moiety comprises an  $\Omega$ -aminoalkoxy moiety.

11. The compound of claim 10 wherein said linking moiety comprises a 5-aminopentoxo group.

12. The compound of claim 1 wherein cholic acid is attached at the 2' position of said nucleoside.

13. The compound of claim 12 wherein said attachment is through a linking moiety comprises an  $\Omega$ -aminoalkoxy or  $\Omega$ -aminoalkylamino moiety.

14. The compound of claim 13 wherein said linking moiety comprises an  $\Omega$ -aminoalkoxy moiety.

15. The compound of claim 14 wherein said linking moiety comprises a 5-aminopentoxo group.

16. The compound of claim 1 wherein at least one of the linked nucleosides is a 2'-deoxy'-2'-fluoro, 2'-methoxy, 2'-ethoxy, 2'-propoxy, 2'-aminoalkoxy or 2'-allyloxy nucleoside.

17. The compound of claim 1 wherein at least two of the linked nucleosides are linked by phosphorothioate linking groups.

18. A compound comprising a plurality of linked nucleosides, wherein at least one of the nucleosides is a functionalized nucleoside selected from the group consisting of:

- a 2' functionalized nucleoside having cholic acid linked to the 2' position of the nucleoside;

- a heterocyclic base functionalized nucleoside having cholic acid linked to the heterocyclic base of the nucleoside;

- a 5' terminal nucleoside having cholic acid linked to the 5'-position of the nucleoside;

- a 3' terminal nucleoside having cholic acid linked to the 3'-position of the nucleoside; and

- an inter-strand nucleoside having cholic acid linked to an inter-nucleotide linkage linking said inter-strand nucleoside to an adjacent nucleoside.

19. The compound of claim 18 wherein a linking group links said cholic acid and the functionalized nucleoside.

20. The compound of claim 18 wherein said functionalized nucleoside is a 2' functionalized nucleoside having cholic acid linked to the 2'-position of the nucleoside.

21. The compound of claim 18 wherein at least one of the linked nucleosides is a 2'-deoxy'-2'-fluoro, 2'-methoxy, 2'-ethoxy, 2'-propoxy, 2'-aminoalkoxy or 2'-allyloxy nucleoside.

22. The compound of claim 18 wherein at least two of the linked nucleosides are linked by phosphorothioate linking groups.

23. The compound of claim 18 wherein said functionalized nucleoside is a 3' terminal nucleoside having cholic acid linked to the 3'-position of said 3' terminal nucleoside.

24. The compound of claim 18 wherein said functionalized nucleoside is a 5' terminal nucleoside having cholic acid linked to the 5'-position of said 5' terminal nucleoside.

25. The compound of claim 18 wherein said functionalized nucleoside is a heterocyclic base functionalized nucleoside having cholic acid linked to the heterocyclic base of said nucleoside.

26. The compound of claim 18 wherein said functionalized nucleoside is an inter-strand nucleoside having cholic acid linked to an inter-nucleotide linkage linking said inter-strand nucleoside to an adjacent nucleoside.

27. A compound comprising a plurality of linked nucleosides, wherein:

at least one of the nucleosides is a 2'-deoxy'-2'-fluoro, 2'-O-C<sub>1</sub>-C<sub>20</sub>-alkyl, 2'-O-C<sub>2</sub>-C<sub>20</sub>-alkenyl, 2'-O-C<sub>2</sub>-C<sub>20</sub>-alkynyl, 2'-S-C<sub>1</sub>-C<sub>20</sub>-alkyl, 2'-S-C<sub>2</sub>-C<sub>20</sub>-alkenyl, 2'-S-C<sub>2</sub>-C<sub>20</sub>-alkynyl, 2'-NH-C<sub>1</sub>-C<sub>20</sub>-alkyl, 2'-NH-C<sub>2</sub>-C<sub>20</sub>-alkenyl, 2'-NH-C<sub>2</sub>-C<sub>20</sub>-alkynyl nucleoside; and

at least one of the nucleosides is a functionalized nucleoside selected from the group comprising::

a 2'-functionalized nucleoside having a steroid molecule, a reporter molecule, a non-aromatic lipophilic molecule, a reporter enzyme, a peptide, a protein, a water soluble vitamin, a lipid soluble vitamin, an RNA cleaving complex, a metal chelator, a porphyrin, an alkylator, a hybrid photonuclease/intercalator, or an aryl azide photo-crosslinking agent linked to the 2'-position of the nucleoside;

a heterocyclic base functionalized nucleoside having a steroid molecule, a reporter molecule, a non-aromatic lipophilic molecule, a reporter enzyme, a peptide, a protein, a water soluble vitamin, a lipid soluble vitamin, an RNA cleaving complex, a metal chelator, a porphyrin, an alkylator, a hybrid photonuclease/intercalator, or an aryl azide photo-crosslinking agent linked to the heterocyclic base of the nucleoside;

a 5' terminal nucleoside having a steroid molecule, a reporter molecule, a non-aromatic lipophilic molecule, a reporter enzyme, a peptide, a protein, a water soluble vitamin, a lipid soluble vitamin, an RNA cleaving complex, a metal chelator, a porphyrin, an alkylator, a hybrid photonuclease/intercalator, or an aryl azide photo-crosslinking agent linked to the 5'-position of the nucleoside;

a 3' terminal nucleoside having a steroid molecule, a reporter molecule, a non-aromatic lipophilic molecule, a reporter enzyme, a peptide, a protein, a water soluble vitamin, a lipid soluble vitamin, an RNA cleaving complex, a metal chelator, a porphyrin, an alkylator, a hybrid photonuclease/intercalator, or an aryl azide photo-crosslinking agent linked to the 3'-position of the nucleoside; and

an inter-strand nucleoside having a steroid molecule, a reporter molecule, a non-aromatic

lipophilic molecule, a reporter enzyme, a peptide, a protein, a water soluble vitamin, a lipid soluble vitamin, an RNA cleaving complex, a metal chelator, a porphyrin, an alkylator, a hybrid photonuclease/intercalator, or an aryl azide photo-crosslinking agent linked to an inter-stand linkage linking said inter-strand nucleoside to an adjacent nucleoside.

28. The compound of claim 27 wherein:

said functionalized nucleoside is a nucleoside having a steroid molecule, a reporter molecule, a non-aromatic lipophilic molecule, a reporter enzyme, a peptide, a protein, a water soluble vitamin, a lipid soluble vitamin, an RNA cleaving complex, a metal chelator, a porphyrin, an alkylator, a hybrid photonuclease/intercalator, or an aryl azide photo-crosslinking agent linked to the 2'-position of said nucleoside; and

the remainder of said linked nucleosides are 2'-deoxy-2'-fluoro, 2'-methoxy, 2'-ethoxy, 2'-propoxy, 2'-aminoalkoxy or 2'-allyloxy nucleosides.

29. The compound of claim 27 wherein said functionalized nucleoside is a 3' terminal nucleoside having a steroid molecule, a reporter molecule, a non-aromatic lipophilic molecule, a reporter enzyme, a peptide, a protein, a water soluble vitamin, a lipid soluble vitamin, an RNA cleaving complex, a metal chelator, a porphyrin, an alkylator, a hybrid photonuclease/intercalator, or an aryl azide photo-crosslinking agent linked to the 3'-position of the nucleoside.

30. The compound of claim 27 wherein said functionalized nucleoside is a 5' nucleoside having a steroid molecule, a reporter molecule, a non-aromatic lipophilic molecule, a reporter enzyme, a peptide, a protein, a water soluble vitamin, a lipid soluble vitamin, an RNA cleaving complex, a metal chelator, a porphyrin, an alkylator, a hybrid

photonuclease/intercalator, or an aryl azide photo-crosslinking agent linked to the 5'-position of the nucleoside.

31. The compound of claim 27 wherein said functionalized nucleoside is a heterocyclic base functionalized nucleoside having a steroid molecule, a reporter molecule, a non-aromatic lipophilic molecule, a reporter enzyme, a peptide, a protein, a water soluble vitamin, a lipid soluble vitamin, an RNA cleaving complex, a metal chelator, a porphyrin, an alkylator, a hybrid photonuclease/intercalator, or an aryl azide photo-crosslinking agent linked to the heterocyclic base of the nucleoside.

32. The compound of claim 27 wherein said functionalized nucleoside is an inter-strand nucleoside having a steroid molecule, a reporter molecule, a non-aromatic lipophilic molecule, a reporter enzyme, a peptide, a protein, a water soluble vitamin, a lipid soluble vitamin, an RNA cleaving complex, a metal chelator, a porphyrin, an alkylator, a hybrid photonuclease/intercalator, or an aryl azide photo-crosslinking agent linked to an inter-strand linkage linking said nucleoside to an adjacent nucleoside.

33. The compound of claim 27 wherein at least two of the linked nucleosides are linked by phosphorothioate linking groups.

34. A method of effecting cellular uptake of a compound having a plurality of linked nucleosides, comprising contacting an organism with said compound wherein said compound includes at least one nucleoside functionalized at its 2'-position by attachment of a steroid molecule, a reporter molecule, a non-aromatic lipophilic molecule, a reporter enzyme, a peptide, a protein, a water soluble vitamin, and a lipid soluble vitamin to the 2'-position with a linking moiety.

35. The method of claim 34 further including selecting said steroid molecule from the group consisting of cholic acid, deoxycholic acid, dehydrocholic acid, cortisone, digoxigenin, testosterone, cholesterol and digoxigenin.

36. The method of claim 34 further including selecting cholic acid as said steroid molecule.

37. The method of claim 34 further including selecting an  $\Omega$ -aminoalkylamino moiety as said linking molecule.

38. A method of enhancing an antisense oligonucleotide comprising:

functionalizing said oligonucleotide with at least one of a steroid molecule, a reporter molecule, a non-aromatic lipophilic molecule, a reporter enzyme, a peptide, a protein, a water soluble vitamin, a lipid soluble vitamin, an RNA cleaving complex, a metal chelator, a porphyrin, an alkylator, a hybrid photonuclease/intercalator, or an aryl azide photo-crosslinking agent; and

further functionalizing said oligonucleotide with one of a group to effect increase affinity of said oligonucleotide for a target or a group to increase the stability of said oligonucleotide to nucleases.

39. The method of claim 38 wherein said oligonucleotide is functionalized with one of a steroid molecule, biotin, a fluorescein dye molecule, an alicyclic hydrocarbon molecule, a saturated or unsaturated fatty acid, a wax, a terpene, a polyalicyclic hydrocarbon, adamantane, a buckminsterfullerene, a reporter enzyme, a peptide, a protein, pyrene, thiamine, riboflavin, nicotinic acid, niacin, pyridoxal, pantothenic acid, biotin, folic acid, methyl folate, a vitamin  $b_{12}$  cobamide coenzyme, inositol, choline, ascorbic acid, retinoic acid, retinol, a tocopherol, vitamin d, vitamin K, EDTA, DTPA, o-phenanthroline, a photonuclease/intercalator, a bipyridine complex, an aryl

azide photo-crosslinker, a phenanthroline/metal complex or a bipyridine/metal complex.

40. The method of claim 38 including further functionalizing said oligonucleotide to both increase affinity of said oligonucleotide for a target and to increase the stability of said oligonucleotide to nucleases.

41. A compound comprising a plurality of linked nucleosides, wherein at least one of the nucleosides is a functionalized nucleoside selected from the group consisting of:

- a 2' functionalized nucleoside having folic acid linked to the 2' position of the nucleoside;

- a heterocyclic base functionalized nucleoside having folic acid linked to the heterocyclic base of the nucleoside;

- a 5' terminal nucleoside having folic acid linked to the 5'-position of the nucleoside;

- a 3' terminal nucleoside having folic acid linked to the 3'-position of the nucleoside; and

- an inter-strand nucleoside having folic acid linked to an inter-nucleotide linkage linking said inter-strand nucleoside to an adjacent nucleoside.

42. A compound of claim 41 wherein said functionalized nucleoside is a 2' functionalized nucleoside having folic acid linked to the 2' position of the nucleoside.

43. A compound comprising a plurality of linked nucleosides, wherein at least one of the nucleosides is a functionalized nucleoside selected from the group consisting of:

- a 2' functionalized nucleoside having pyrene linked to the 2' position of the nucleoside;

- a 5' terminal nucleoside having pyrene linked to the 5'-position of the nucleoside;



a 3' terminal nucleoside having pyrene linked to the 3'-position of the nucleoside; and

an inter-strand nucleoside having pyrene linked to an inter-nucleotide linkage linking said inter-strand nucleoside to an adjacent nucleoside.

44. A compound of claim 43 wherein said functionalized nucleoside is a 2' functionalized nucleoside having pyrene linked to the 2' position of the nucleoside.

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(5) :A61K 31/70; C07H 21/00

US CL :536/22.1, 23.1, 25.32, 23.2

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 536/23.2; 514/44

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US, A, 4,958,013 (LETSINGER) 18 September 1990. see Table I at columns 4 and 5 and claims 1-3.	1-44
Y	Journal of the American Chemical Society, Volume 104, issued 06 October 1982, Ramirez et al., "Nucleotidophospholipids: oligonucleotide Derivatives with Membrane-Recognition Groups," pages 5483-5486. see particularly Schemes I,II and related disclosures.	1-44
Y	Journal of the American Chemical Society, Volume 111, issued 01 July 1989, Telser et al., "Synthesis and Characterization of DNA Oligomers and Duplexes Containing Covalently Attached Molecular Labels: Comparison of Biotin, Fluorescein, and Pyrene Labels by Thermodynamic and Optical Spectroscopic Measures", pages 6966-6976, see entire document.	1-44

☐ Further documents are listed in the continuation of Box C.
 ☐ See patent family annex.

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29 JANUARY 1993

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